

On the q -binomial coefficients and binomial congruences

q -series seminar

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- Following a question of Andrews we seek a q -analog of:

THM
Ljunggren
1952

For primes $p \geq 5$:
$$\binom{ap}{bp} \equiv \binom{a}{b} \pmod{p^3}$$



George Andrews

q-analogs of the binomial coefficient congruences of Babbage, Wolstenholme and Glaisher
Discrete Mathematics 204, 1999

- The natural number n has the q -analog:

$$[n]_q = \frac{q^n - 1}{q - 1} = 1 + q + \dots + q^{n-1}$$

In the limit $q \rightarrow 1$ a q -analog reduces to the classical object.

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- The q -factorial:

$$[n]_q! = [n]_q [n-1]_q \cdots [1]_q$$

- The q -binomial coefficient:

$$\binom{n}{k}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!}$$

D1

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$$\binom{n}{k}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!} = \frac{(q; q)_n}{(q; q)_k (q; q)_{n-k}}$$

For q -series fans:

D1

EG

$$\binom{6}{2} = \frac{6 \cdot 5}{2} = 3 \cdot 5$$

$$\binom{6}{2}_q = \frac{(1 + q + q^2 + q^3 + q^4 + q^5)(1 + q + q^2 + q^3 + q^4)}{1 + q}$$

EG

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$$\begin{aligned}\binom{6}{2}_q &= \frac{(1 + q + q^2 + q^3 + q^4 + q^5)(1 + q + q^2 + q^3 + q^4)}{1 + q} \\ &= (1 - q + q^2) \underbrace{(1 + q + q^2)}_{=[3]_q} \underbrace{(1 + q + q^2 + q^3 + q^4)}_{=[5]_q}\end{aligned}$$

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- The cyclotomic polynomial $\Phi_6(q)$ becomes 1 for $q = 1$ and hence invisible in the classical world

The n th cyclotomic polynomial:

$$\Phi_n(q) = \prod_{\substack{1 \leq k < n \\ (k,n)=1}} (q - \zeta^k) \quad \text{where } \zeta = e^{2\pi i/n}$$

- This is an **irreducible** polynomial with **integer** coefficients.
irreducibility due to Gauss — nontrivial

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- $[n]_q = \frac{q^n - 1}{q - 1} = \prod_{\substack{1 < d \leq n \\ d|n}} \Phi_d(q)$

For primes: $[p]_q = \Phi_p(q)$

EG

$$\Phi_2(q) = q + 1$$

$$\Phi_3(q) = q^2 + q + 1$$

$$\Phi_6(q) = q^2 - q + 1$$

$$\Phi_9(q) = q^6 + q^3 + 1$$

$$\Phi_{21}(q) = q^{12} - q^{11} + q^9 - q^8 + q^6 - q^4 + q^3 - q + 1$$

⋮

$$\begin{aligned}\Phi_{102}(q) &= q^{32} + q^{31} - q^{29} - q^{28} + q^{26} + q^{25} - q^{23} - q^{22} + q^{20} \\ &\quad + q^{19} - q^{17} - q^{16} - q^{15} + q^{13} + q^{12} - q^{10} - q^9 + q^7 \\ &\quad + q^6 - q^4 - q^3 + q + 1\end{aligned}$$

Some cyclotomic polynomials exhibited

EG

$$\Phi_2(q) = q + 1$$

$$\Phi_3(q) = q^2 + q + 1$$

$$\Phi_6(q) = q^2 - q + 1$$

$$\Phi_9(q) = q^6 + q^3 + 1$$

$$\Phi_{21}(q) = q^{12} - q^{11} + q^9 - q^8 + q^6 - q^4 + q^3 - q + 1$$

⋮

$$\begin{aligned}\Phi_{105}(q) = & q^{48} + q^{47} + q^{46} - q^{43} - q^{42} - 2q^{41} - q^{40} - q^{39} \\ & + q^{36} + q^{35} + q^{34} + q^{33} + q^{32} + q^{31} - q^{28} - q^{26} - q^{24} \\ & - q^{22} - q^{20} + q^{17} + q^{16} + q^{15} + q^{14} + q^{13} + q^{12} - q^9 \\ & - q^8 - 2q^7 - q^6 - q^5 + q^2 + q + 1\end{aligned}$$

- $[n]_q = \frac{q^n - 1}{q - 1} = \prod_{\substack{1 < d \leq n \\ d|n}} \Phi_d(q)$
- $\binom{n}{k}_q = \frac{[n]_q [n-1]_q \cdots [n-k+1]_q}{[k]_q [k-1]_q \cdots [1]_q}$
- How often does $\Phi_d(q)$ appear in this?
 - It appears $\left\lfloor \frac{n}{d} \right\rfloor - \left\lfloor \frac{n-k}{d} \right\rfloor - \left\lfloor \frac{k}{d} \right\rfloor$ times

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 - Obviously nonnegative: the q -binomials are indeed **polynomials**
 - Also at most one: **square-free**
 - $\binom{n}{k}_q$ always contains $\Phi_n(q)$ if $0 < k < n$.
- Good way to compute q -binomials
and even get them factorized for free

The coefficients of q -binomial coefficients

- Here's some q -binomials in **expanded** form:

EG

$$\binom{6}{2}_q = q^8 + q^7 + 2q^6 + 2q^5 + 3q^4 + 2q^3 + 2q^2 + q + 1$$

$$\begin{aligned} \binom{9}{3}_q &= q^{18} + q^{17} + 2q^{16} + 3q^{15} + 4q^{14} + 5q^{13} + 7q^{12} \\ &\quad + 7q^{11} + 8q^{10} + 8q^9 + 8q^8 + 7q^7 + 7q^6 + 5q^5 \\ &\quad + 4q^4 + 3q^3 + 2q^2 + q + 1 \end{aligned}$$

- The degree of the q -binomial is $k(n - k)$.
- All coefficients are positive!
- In fact, the coefficients are **unimodal**.

Sylvester, 1878

The q -binomials can be build from the q -Pascal rule:

$$\binom{n}{k}_q = \binom{n-1}{k-1}_q + q^k \binom{n-1}{k}_q$$

D2

$$\binom{n}{k}_q = \sum_{S \in \binom{[n]}{k}} q^{w(S)} \quad \text{where } w(S) = \sum_j s_j - j$$

D3

$w(S)$ = "normalized sum of S "

EG

$$\underbrace{\{1, 2\}}_{\rightarrow 0}, \underbrace{\{1, 3\}}_{\rightarrow 1}, \underbrace{\{1, 4\}}_{\rightarrow 2}, \underbrace{\{2, 3\}}_{\rightarrow 2}, \underbrace{\{2, 4\}}_{\rightarrow 3}, \underbrace{\{3, 4\}}_{\rightarrow 4}$$

$$\binom{4}{2}_q = 1 + q + 2q^2 + q^3 + q^4$$

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The coefficient of q^m in $\binom{n}{k}_q$ counts the number of

- k -element subsets of n whose normalized sum is m
- partitions λ of m whose Ferrer's diagram fits in a $k \times (n - k)$ box

Different representations make different properties apparent!

- Chu-Vandermonde:
$$\binom{m+n}{k} = \sum_j \binom{m}{j} \binom{n}{k-j}$$

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Let q be a prime power.

$$\binom{n}{k}_q = \text{number of } k\text{-dim. subspaces of } \mathbb{F}_q^n$$

D4

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- Number of ways to choose k linearly independent vectors in \mathbb{F}_q^n :

$$(q^n - 1)(q^n - q) \cdots (q^n - q^{k-1})$$

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D4

- Number of ways to choose k linearly independent vectors in \mathbb{F}_q^n :

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- Hence the number of k -dim. subspaces of \mathbb{F}_q^n is:

$$\frac{(q^n - 1)(q^n - q) \cdots (q^n - q^{k-1})}{(q^k - 1)(q^k - q) \cdots (q^k - q^{k-1})} = \binom{n}{k}_q$$

Suppose $yx = qxy$ where q commutes with x, y . Then:

$$(x + y)^n = \sum_{j=0}^n \binom{n}{j}_q x^j y^{n-j}$$

D5

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EG

$$\begin{aligned} \binom{4}{2}_q x^2 y^2 &= xxyy + xyxy + xyyx + yxxy + yxyx + yyxx \\ &= (1 + q + q^2 + q^2 + q^3 + q^4)x^2 y^2 \end{aligned}$$

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- Let $X \cdot f(x) = xf(x)$ and $Q \cdot f(x) = f(qx)$. Then:

$$QX \cdot f(x) = qx f(qx) = qXQ \cdot f(x)$$

It all starts with the q -**derivative**:

$$D_q f(x) = \frac{f(qx) - f(x)}{qx - x}$$

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EG

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- Define $e_q^x = \sum_{n=0}^{\infty} \frac{x^n}{[n]_q!}$

- $D_q e_q^x = e_q^x$
- $e_q^x \cdot e_q^y \neq e_q^{x+y}$
unless $yx = qxy$
- $e_q^x \cdot e_{1/q}^{-x} = 1$

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- Define $e_q^x = \sum_{n=0}^{\infty} \frac{x^n}{[n]_q!}$
- **Homework:** Define $\cos_q(x)$, $\sin_q(x)$, ... and develop some q -trigonometry.

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- $e_q^x \cdot e_q^y \neq e_q^{x+y}$
unless $yx = qxy$
- $e_q^x \cdot e_{1/q}^{-x} = 1$

- Formally inverting $D_q F(x) = f(x)$ gives:

$$F(x) = \int_0^x f(x) d_q x := (1 - q) \sum_{n=0}^{\infty} q^n x f(q^n x)$$

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THM Fundamental theorem of q -calculus:

Let $0 < q < 1$. Then

$$D_q F(x) = f(x).$$

$F(x)$ is the unique such function continuous at 0 with $F(0) = 0$.

Fineprint: one needs for instance that $|f(x)x^\alpha|$ is bounded on some $(0, a]$.

- Define the q -gamma function as

$$\Gamma_q(s) = \int_0^\infty x^{s-1} e_{1/q}^{-qx} d_q x$$

- $\Gamma_q(s+1) = [s]_q \Gamma_q(s)$
- $\Gamma_q(n+1) = [n]_q!$

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D6

q -beta function:

$$B_q(t, s) = \int_0^1 x^{t-1} (1 - qx)_q^{s-1} d_q x$$

- $B_q(t, s) = \frac{\Gamma_q(t)\Gamma_q(s)}{\Gamma_q(t+s)}$
- $B_q(t, s) = B_q(s, t)$

- Here, $(x - a)_q^n$ is defined by:

$$f(x) = \sum_{n \geq 0} (D_q^n f)(a) \frac{(x - a)_q^n}{[n]_q!}$$

Explicitly: $(x - a)_q^n = (x - a)(x - qa) \cdots (x - q^{n-1}a)$

Summary: the q -binomial coefficient

- The q -binomial coefficient:

$$\binom{n}{k}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!}$$

- Via a q -version of **Pascal's rule**
- **Combinatorially**, as the generating function of the element sums of k -subsets of an n -set
- **Geometrically**, as the number of k -dimensional subspaces of \mathbb{F}_q^n
- **Algebraically**, via a binomial theorem for noncommuting variables
- **Analytically**, via q -integral representations
- Not touched here: **quantum groups** arising in representation theory and physics

Classical binomial congruences

John Wilson (1773, Lagrange): $(p - 1)! \equiv -1 \pmod{p}$



Charles Babbage (1819): $\binom{2p - 1}{p - 1} \equiv 1 \pmod{p^2}$



Joseph Wolstenholme (1862): $\binom{2p - 1}{p - 1} \equiv 1 \pmod{p^3}$



James W.L. Glaisher (1900): $\binom{mp - 1}{p - 1} \equiv 1 \pmod{p^3}$



Wilhelm Ljunggren (1952): $\binom{ap}{bp} \equiv \binom{a}{b} \pmod{p^3}$



Wilson's congruence

THM
Lagrange
1773

$$(p - 1)! \equiv -1 \pmod{p}$$

- known to Ibn al-Haytham, ca. 1000 AD
- congruence holds **if and only if** p is a prime
- not great as a practical primality test though. . .



The problem of distinguishing prime numbers from composite numbers . . . is known to be one of the most important and useful in arithmetic. . . . The dignity of the science itself seems to require that every possible means be explored for the solution of a problem so elegant and so celebrated.

C. F. Gauss, *Disquisitiones Arithmeticae*, 1801

Babbage's congruence

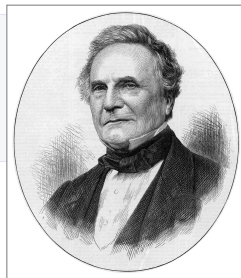
$(n - 1)! + 1$ is divisible by n if and only if n is a prime number

“ *In attempting to discover some analogous expression which should be divisible by n^2 , whenever n is a prime, but not divisible if n is a composite number . . .* Charles Babbage is led to:

THM
Babbage
1819

For primes $p \geq 3$:

$$\binom{2p-1}{p-1} \equiv 1 \pmod{p^2}$$



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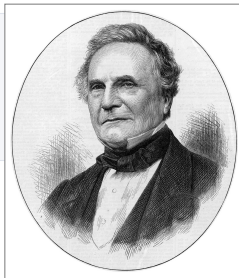
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- $$\binom{2n-1}{n-1} = \frac{(n+1)(n+2)\cdots(2n-1)}{1 \cdot 2 \cdots (n-1)}$$



Babbage's congruence

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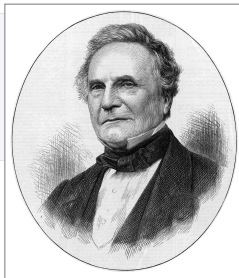
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For primes $p \geq 3$:

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- $\binom{2n-1}{n-1} = \frac{(n+1)(n+2)\cdots(2n-1)}{1 \cdot 2 \cdots (n-1)}$
- Does not quite characterize primes!



$n = 16843^2$

- We have

$$\binom{2p}{p} = \sum_k \binom{p}{k} \binom{p}{p-k}$$

- Note that p divides $\binom{p}{k}$ unless $k = 0$ or $k = p$.

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- Note that p divides $\binom{p}{k}$ unless $k = 0$ or $k = p$.
- $\binom{2p-1}{p-1} = \frac{1}{2} \binom{2p}{p}$ which is only trouble when $p = 2$

- Using q -Chu-Vandermonde

$$\begin{aligned} \binom{2p}{p}_q &= \sum_k \binom{p}{k}_q \binom{p}{p-k}_q q^{(p-k)^2} \\ &\equiv q^{p^2} + 1 \pmod{[p]_q^2} \end{aligned}$$

- Again, $[p]_q$ divides $\binom{p}{k}_q$ unless $k = 0$ or $k = p$.

A q -analog of Babbage's congruence

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THM $\binom{2p}{p}_q \equiv [2]_{q^{p^2}} \pmod{[p]_q^2}$

- Actually, the same argument shows:

THM
Clark
1995

$$\binom{ap}{bp}_q \equiv \binom{a}{b}_{q^{p^2}} \pmod{[p]_q^2}$$

Extending the q -analog

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- Sketch of the corresponding classical congruence:

$$\begin{aligned} \binom{ap}{bp} &= \sum_{k_1 + \dots + k_a = bp} \binom{p}{k_1} \cdots \binom{p}{k_a} \\ &\equiv \binom{a}{b} \pmod{p^2} \end{aligned}$$

- We get a contribution whenever b of the a many k 's are p .

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Similar results by Andrews; e.g.:

$$\binom{ap}{bp}_q \equiv q^{(a-b)b\binom{p}{2}} \binom{a}{b}_{q^p} \pmod{[p]_q^2}$$



George Andrews

q-analogs of the binomial coefficient congruences of Babbage, Wolstenholme and Glaisher
Discrete Mathematics 204, 1999

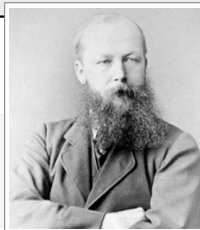
p^2

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- Amazingly, the congruences hold modulo p^3 !

THM
Wolsten-
holme
1862

For primes $p \geq 5$:
$$\binom{2p-1}{p-1} \equiv 1 \pmod{p^3}$$



“ ... for several cases, in testing numerically a result of certain investigations, and after some trouble succeeded in proving it to hold universally ... ”

Wolstenholme and Ljunggren

- Amazingly, the congruences hold modulo p^3 !

THM
Wolstenholme
1862

$$\text{For primes } p \geq 5: \quad \binom{2p-1}{p-1} \equiv 1 \pmod{p^3}$$



“... for several cases, in testing numerically a result of certain investigations, and after some trouble succeeded in proving it to hold universally ...”

THM
Ljunggren
1952

$$\text{For primes } p \geq 5: \quad \binom{ap}{bp} \equiv \binom{a}{b} \pmod{p^3}$$



- Note the restriction on p — proofs are **algebraic**.

A q -analog of Ljunggren's congruence

THM For primes $p \geq 5$:

S 2011

$$\binom{ap}{bp}_q \equiv \binom{a}{b}_{q^{p^2}} - \binom{a}{b+1} \binom{b+1}{2} \frac{p^2-1}{12} (q^p-1)^2 \pmod{[p]_q^3}$$

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EG Choosing $p = 13$, $a = 2$, and $b = 1$, we have

$$\binom{26}{13}_q = 1 + q^{169} - 14(q^{13} - 1)^2 + (1 + q + \dots + q^{12})^3 f(q)$$

where $f(q) = 14 - 41q + 41q^2 - \dots + q^{132}$ is an irreducible polynomial with integer coefficients.

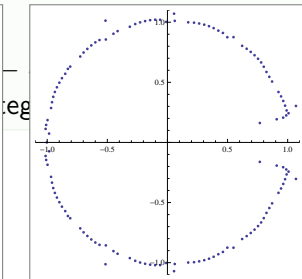
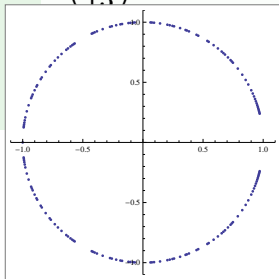
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32 is an irreducible

Just coincidence?

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- Ernst Jacobsthal (1952) proved that Ljunggren's classical congruence holds modulo p^{3+r} where r is the p -adic valuation of

$$ab(a-b) \binom{a}{b} = 2a \binom{a}{b+1} \binom{b+1}{2}.$$

- It would be interesting to see if this generalization has a nice analog in the q -world.

The case of composite numbers

$$\binom{ap}{bp}_q \equiv \binom{a}{b}_{q^{p^2}} - \binom{a}{b+1} \binom{b+1}{2} \frac{p^2 - 1}{12} (q^p - 1)^2 \pmod{[p]_q^3}$$

- Note that $\frac{n^2 - 1}{12}$ is an integer if $(n, 6) = 1$.

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- Note that $\frac{n^2 - 1}{12}$ is an integer if $(n, 6) = 1$.
- Ljunggren's q -congruence holds modulo $\Phi_n(q)^3$ over integer coefficient polynomials if $(n, 6) = 1$ — otherwise we get rational coefficients.

EG

$n = 35,$
 $a = 2,$
 $b = 1$

$$\binom{70}{35}_q = 1 + q^{1225} - 102(q^{35} - 1)^2 + \Phi_{35}(q)^3 f(q)$$

where $f(q) = 102 + 307q + 617q^2 + \dots + q^{1152}$

The case of composite numbers

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EG
 $n = 12,$
 $a = 2,$
 $b = 1$

$$\binom{24}{12}_q = 1 + q^{144} - \frac{143}{12} (q^{12} - 1)^2 + \frac{1}{12} \underbrace{(1 - q^2 + q^4)^3}_{\Phi_{12}(q)} f(q)$$

where $f(q) = 143 + 12q + 453q^2 + \dots + 12q^{131}$

Proof of Wolstenholme's congruence

$$\begin{aligned}\binom{2p-1}{p-1} &= \frac{(2p-1)(2p-2)\cdots(p+1)}{1\cdot 2\cdots(p-1)} \\ &= (-1)^{p-1} \prod_{k=1}^{p-1} \left(1 - \frac{2p}{k}\right)\end{aligned}$$

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Proof of Wolstenholme's congruence II

- Wolstenholme's congruence therefore follows from the fractional congruences

$$\sum_{i=1}^{p-1} \frac{1}{i} \equiv 0 \pmod{p^2},$$

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EG If n is not a multiple of $p - 1$ then, using a primitive root g ,

$$\sum_{0 < i < p} i^n \equiv \sum_{0 < i < p} (gi)^n \equiv g^n \sum_{0 < i < p} i^n \equiv 0 \pmod{p}$$

Congruences for q -harmonic numbers

THM
Shi-Pan
2007

$$\sum_{i=1}^{p-1} \frac{1}{[i]_q} \equiv -\frac{p-1}{2}(q-1) + \frac{p^2-1}{24}(q-1)^2 [p]_q \pmod{[p]_q^2}$$

$$\sum_{i=1}^{p-1} \frac{1}{[i]_q^2} \equiv -\frac{(p-1)(p-5)}{12}(q-1)^2 \pmod{[p]_q}$$

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EG
 $p = 5$

$$\sum_{i=1}^4 \frac{1}{[i]_q^2} = \frac{(q^4 + q^3 + q^2 + q + 1)(q^6 + 3q^5 + 7q^4 + 9q^3 + 11q^2 + 6q + 4)}{(q+1)^2 (q^2+1)^2 (q^2+q+1)^2}$$

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- Equivalent congruences can be given for $\sum_{i=1}^{p-1} \frac{q^i}{[i]_q^n}$
This choice actually appears a bit more natural

An exemplary proof

- We wish to prove

$$\sum_{i=1}^{p-1} \frac{q^i}{[i]_q^2} \equiv -\frac{p^2 - 1}{12} (1 - q)^2 \pmod{[p]_q}$$



Ling-Ling Shi and Hao Pan

A q -Analogue of Wolstenholme's Harmonic Series Congruence
The American Mathematical Monthly, 144(6), 2007

An exemplary proof

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- Write:

$$\sum_{i=1}^{p-1} \frac{q^i}{[i]_q^2} = (1-q)^2 \underbrace{\sum_{i=1}^{p-1} \frac{q^i}{(1-q^i)^2}}_{=:G(q)}$$



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$$[p]_q = \prod_{m=1}^{p-1} (q - \zeta^m)$$

- Hence we need to prove: $G(\zeta^m) = -\frac{p^2-1}{12}$ for $m = 1, 2, \dots, p-1$



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$$G(\zeta^m) = \sum_{i=1}^{p-1} \frac{\zeta^{mi}}{(1-\zeta^{mi})^2} = \sum_{i=1}^{p-1} \frac{\zeta^i}{(1-\zeta^i)^2} = G(\zeta)$$



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An exemplary proof II

- Define $G(q, z) = \sum_{i=1}^{p-1} \frac{q^i}{(1 - q^i z)^2}$
- We need $G(\zeta, 1) = -\frac{p^2 - 1}{12}$

An exemplary proof II

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$$\sum_{i=1}^{p-1} \zeta^{ki} = \begin{cases} p-1 & \text{if } p|k \\ -1 & \text{otherwise} \end{cases}$$

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This is beautifully generalized in:



Karl Dilcher

Determinant expressions for q-harmonic congruences and degenerate Bernoulli numbers
 Electronic Journal of Combinatorics 15, 2008

$$\xrightarrow{\text{as } z \rightarrow 1} -\frac{p^2 - 1}{12}$$

Can we do better than modulo p^3 ?

- Are there primes p such that

$$\binom{2p-1}{p-1} \equiv 1 \pmod{p^4}?$$

- Such primes are called **Wolstenholme primes**.
- The only two known are 16843 and 2124679.

McIntosh, 1995: up to 10^9



C. Helou and G. Terjanian

On Wolstenholme's theorem and its converse

Journal of Number Theory 128, 2008

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- Any insight into these from the q -perspective??



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Some open problems

- Extension to Jacobsthal's result?
- Extension to

$$\binom{ap}{bp} \equiv \binom{a}{b} \cdot \left[1 - ab(a-b) \frac{p^3}{3} B_{p-3} \right] \pmod{p^4},$$

and insight into Wolstenholme primes?

- Is there a nice q -analog for Gauss' congruence?

$$\binom{(p-1)/2}{(p-1)/4} \equiv 2a \pmod{p}$$

where $p = a^2 + b^2$ and $a \equiv 1 \pmod{4}$.

Generalized to p^2 and p^3 by Chowla-Dwork-Evans (1986) and by Cosgrave-Dilcher (2010)

THANK YOU!

- Slides for this talk will be available from my website:
<http://arminstraub.com/talks>



Victor Kac and Pokman Cheung

Quantum Calculus

Springer, 2002



Armin Straub

A q -analog of Ljunggren's binomial congruence

Proceedings of FPSAC, 2011