

# Properties and applications of Apéry-like numbers

Mathematics Colloquium  
Tulane University

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$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

1, 5, 73, 1445, 33001, 819005, 21460825, . . .



Jon Borwein



Dirk Nuyens



James Wan



Wadim Zudilin



Robert Osburn



Brundaban Sahu



Mathew Rogers

- The **Apéry numbers**

1, 5, 73, 1445, ...

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

satisfy

$$(n+1)^3 u_{n+1} = (2n+1)(17n^2 + 17n + 5)u_n - n^3 u_{n-1}.$$

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**THM** Apéry '78  $\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$  is irrational.

**proof** The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left( \sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then,  $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$ . But too fast for  $\zeta(3)$  to be rational.  $\square$

## Zagier's search and Apéry-like numbers

- Recurrence for Apéry numbers is the case  $(a, b, c) = (17, 5, 1)$  of

$$(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - cn^3 u_{n-1}.$$

**Q**  
Beukers,  
Zagier

Are there other tuples  $(a, b, c)$  for which the solution defined by  $u_{-1} = 0, u_0 = 1$  is integral?

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- Essentially, only 14 tuples  $(a, b, c)$  found. (Almkvist–Zudilin)
  - 4 hypergeometric and 4 Legendrian solutions
  - 6 sporadic solutions
- Similar (and intertwined) story for:
  - $(n + 1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}$  (Beukers, Zagier)
  - $(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$  (Cooper)

- Hypergeometric and Legendrian solutions have generating functions

$${}_3F_2 \left( \begin{matrix} \frac{1}{2}, \alpha, 1 - \alpha \\ 1, 1 \end{matrix} \middle| 4C_\alpha z \right), \quad \frac{1}{1 - C_\alpha z} {}_2F_1 \left( \begin{matrix} \alpha, 1 - \alpha \\ 1 \end{matrix} \middle| \frac{-C_\alpha z}{1 - C_\alpha z} \right)^2,$$

with  $\alpha = \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}$  and  $C_\alpha = 2^4, 3^3, 2^6, 2^4 \cdot 3^3$ .

- The six sporadic solutions are:

$(a, b, c)$	$A(n)$
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left( \binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}$
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$

# Modularity of Apéry-like numbers

- The **Apéry numbers**

1, 5, 73, 1145, ...

satisfy

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

$$\underbrace{\frac{\eta^7(2\tau)\eta^7(3\tau)}{\eta^5(\tau)\eta^5(6\tau)}}_{\text{modular form}} = \sum_{n \geq 0} A(n) \underbrace{\left( \frac{\eta^{12}(\tau)\eta^{12}(6\tau)}{\eta^{12}(2\tau)\eta^{12}(3\tau)} \right)^n}_{\text{modular function}} .$$

$$1 + 5q + 13q^2 + 23q^3 + O(q^4)$$

$$q - 12q^2 + 66q^3 + O(q^4)$$

$$q = e^{2\pi i\tau}$$

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$1 + 5q + 13q^2 + 23q^3 + O(q^4)$        $q - 12q^2 + 66q^3 + O(q^4)$        $q = e^{2\pi i \tau}$

**FACT** Not at all evidently, such a **modular parametrization** exists for all known Apéry-like numbers!

- Context:
  - $f(\tau)$  modular form of weight  $k$
  - $x(\tau)$  modular function
  - $y(x)$  such that  $y(x(\tau)) = f(\tau)$

Then  $y(x)$  satisfies a linear differential equation of order  $k + 1$ .



# Supercongruences for Apéry numbers

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**THM**  
Beukers,  
Coster  
'85, '88

The Apéry numbers satisfy the **supercongruence**  $(p \geq 5)$

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}.$$

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**EG** Simple combinatorics proves the congruence

$$\binom{2p}{p} = \sum_k \binom{p}{k} \binom{p}{p-k} \equiv 1 + 1 \pmod{p^2}.$$

For  $p \geq 5$ , Wolstenholme's congruence shows that, in fact,

$$\binom{2p}{p} \equiv 2 \pmod{p^3}.$$

# Supercongruences for Apéry-like numbers



Robert Osburn  
(University of Dublin)



Brundaban Sahu  
(NISER, India)

- Conjecturally, supercongruences like

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}$$

hold for all Apéry-like numbers.

Osburn–Sahu '09

- Current state of affairs for the six sporadic sequences from earlier:

$(a, b, c)$	$A(n)$	
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	open!! modulo $p^2$ Amdeberhan '14
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left( \binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$	Osburn–Sahu–S '14
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Osburn–Sahu '11
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}$	Osburn–Sahu–S '14
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	open
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Beukers, Coster '87-'88

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$$a(mp^r) \equiv a(mp^{r-1}) \pmod{p^r} \quad (\text{C})$$

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- **realizable** sequences  $a(n)$ , i.e., for some map  $T : X \rightarrow X$ ,

$$a(n) = \#\{x \in X : T^n x = x\} \quad \text{“points of period } n\text{”}$$

Everest–van der Poorten–Puri–Ward '02, Arias de Reyna '05

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- $a(n) = \text{ct } \Lambda(x)^n$  van Straten–Samol '09

if origin is only interior pt of the Newton polyhedron of  $\Lambda(x) \in \mathbb{Z}_p[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$

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- $a(n) = \text{ct } \Lambda(x)^n$  van Straten–Samol '09  
if origin is only interior pt of the Newton polyhedron of  $\Lambda(x) \in \mathbb{Z}_p[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$
- If  $a(1) = 1$ , then (C) is equivalent to  $\exp\left(\sum_{n=1}^{\infty} \frac{a(n)}{n} T^n\right) \in \mathbb{Z}[[T]]$ .  
This is a natural condition in **formal group theory**.



# Cooper's sporadic sequences

- Cooper's search for integral solutions to

$$(n+1)^3 u_{n+1} = (2n+1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$$

revealed three additional sporadic solutions:

$s_{10}$  and supercongruence known

$$s_7(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k} \binom{2k}{n}$$

$$s_{10}(n) = \sum_{k=0}^n \binom{n}{k}^4$$

$$s_{18}(n) = \sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k} \left[ \binom{2n-3k-1}{n} + \binom{2n-3k}{n} \right]$$

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**CONJ**  
Cooper  
2012

$$s_7(mp) \equiv s_7(m) \pmod{p^3} \quad p \geq 3$$
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**THM**

Osburn-  
Sahu-S  
2014

$$s_7(mp^r) \equiv s_7(mp^{r-1}) \pmod{p^{3r}} \quad p \geq 5$$

$$s_{18}(mp^r) \equiv s_{18}(mp^{r-1}) \pmod{p^{2r}}$$

# Apéry numbers as diagonals

- Given a series

$$F(x_1, \dots, x_d) = \sum_{n_1, \dots, n_d \geq 0} a(n_1, \dots, n_d) x_1^{n_1} \cdots x_d^{n_d},$$

its **diagonal coefficients** are the coefficients  $a(n, \dots, n)$ .

## THM

Gessel,  
Zeilberger,  
Lipshitz  
1981–88

The diagonal of a rational function is  $D$ -finite.

More generally, the diagonal of a  $D$ -finite function is  $D$ -finite.

$F \in K[[x_1, \dots, x_d]]$  is  $D$ -finite if its partial derivatives span a finite-dimensional vector space over  $K(x_1, \dots, x_d)$ .

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**EG**  
Christol  
1984

The Apéry numbers are the diagonal coefficients of

$$\frac{1}{(1-x_1) [(1-x_2)(1-x_3)(1-x_4)(1-x_5) - x_1x_2x_3]}.$$

- Such identities are routine to prove, but much harder to discover.

THM  
S 2013

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$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4}.$$

- Univariate generating function:

$$\sum_{n \geq 0} A(n)x^n = \frac{17-x-z}{4\sqrt{2}(1+x+z)^{3/2}} {}_3F_2 \left( \begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| -\frac{1024x}{(1-x+z)^4} \right),$$

where  $z = \sqrt{1-34x+x^2}$ .

THM  
S 2013

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- Well-developed theory of multivariate asymptotics

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where  $z = \sqrt{1-34x+x^2}$ .

- Well-developed theory of multivariate asymptotics
- Such diagonals are algebraic modulo  $p^r$ .

e.g., Pemantle–Wilson

Furstenberg, Deligne '67, '84

Automatically leads to congruences such as

$$A(n) \equiv \begin{cases} 1 & (\text{mod } 8), \text{ if } n \text{ even,} \\ 5 & (\text{mod } 8), \text{ if } n \text{ odd.} \end{cases}$$

Chowla–Cowles–Cowles '80  
Rowland–Yassawi '13

THM  
S 2013

Define  $A(\mathbf{n}) = A(n_1, n_2, n_3, n_4)$  by

$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} A(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

- The Apéry numbers are the diagonal coefficients.
- For  $p \geq 5$ , we have the **multivariate supercongruences**

$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

THM  
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- The Apéry numbers are the diagonal coefficients.
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$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- $\sum_{n \geq 0} a(n)x^n = F(x) \implies \sum_{n \geq 0} a(pn)x^{pn} = \frac{1}{p} \sum_{k=0}^{p-1} F(\zeta_p^k x) \quad \zeta_p = e^{2\pi i/p}$
- Hence, both  $A(\mathbf{np}^r)$  and  $A(\mathbf{np}^{r-1})$  have rational generating function. The proof, however, relies on an explicit binomial sum for the coefficients.

Let  $\lambda = (\lambda_1, \dots, \lambda_\ell) \in \mathbb{Z}_{>0}^\ell$  with  $d = \lambda_1 + \dots + \lambda_\ell$ , and set  $s(j) = \lambda_1 + \dots + \lambda_{j-1}$ . Define  $A_\lambda(\mathbf{n})$  by

$$\left( \prod_{j=1}^{\ell} \left[ 1 - \sum_{r=1}^{\lambda_j} x_{s(j)+r} \right] - x_1 x_2 \cdots x_d \right)^{-1} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^d} A_\lambda(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

- If  $\ell \geq 2$ , then, for all primes  $p$  and integers  $r \geq 1$ ,

$$A_\lambda(\mathbf{n}p^r) \equiv A_\lambda(\mathbf{n}p^{r-1}) \pmod{p^{2r}}.$$

- If  $\ell \geq 2$  and  $\max(\lambda_1, \dots, \lambda_\ell) \leq 2$ , then, for primes  $p \geq 5$  and integers  $r \geq 1$ ,

$$A_\lambda(\mathbf{n}p^r) \equiv A_\lambda(\mathbf{n}p^{r-1}) \pmod{p^{3r}}.$$

**EG** The Apéry-like numbers, associated with  $\zeta(2)$ ,

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k},$$

are the diagonal coefficients of the rational function

$$\frac{1}{(1-x_1-x_2)(1-x_3)-x_1x_2x_3} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^3} B(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

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**COR**  
S 2014 We find

$$B(\mathbf{n}) = \sum_{k \in \mathbb{Z}} \binom{n_1}{k} \binom{n_1+n_2-k}{n_1} \binom{n_3}{k},$$

and, for primes  $p \geq 5$ ,

$$B(p^r \mathbf{n}) \equiv B(p^{r-1} \mathbf{n}) \pmod{p^{3r}}.$$

- The diagonal case recovers supercongruences of Coster, 1988.

EG

The numbers

$$Y_d(n) = \sum_{k=0}^n \binom{n}{k}^d,$$

$d = 3$ : Franel,  $d = 4$ : Yang–Zudilin

are the diagonal coefficients of the rational function

$$\frac{1}{(1-x_1)(1-x_2)\cdots(1-x_d) - x_1x_2\cdots x_d} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^d} Y_d(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

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$$Y_d(\mathbf{n}) = \sum_{k \geq 0} \binom{n_1}{k} \binom{n_2}{k} \cdots \binom{n_d}{k},$$

and, for  $d \geq 2$  and primes  $p \geq 5$ ,

$$Y_d(p^r \mathbf{n}) \equiv Y_d(p^{r-1} \mathbf{n}) \pmod{p^{3r}}.$$

- This generalizes a result of Chan–Cooper–Sica, 2010.



# A conjectural multivariate supercongruence

CONJ  
S 2014

The coefficients  $Z(\mathbf{n})$  of

$$\frac{1}{1 - (x_1 + x_2 + x_3 + x_4) + 27x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} Z(\mathbf{n})x^{\mathbf{n}}$$

satisfy, for  $p \geq 5$ , the multivariate supercongruences

$$Z(\mathbf{np}^r) \equiv Z(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- Here, the diagonal coefficients are the **Almkvist–Zudilin numbers**

$$Z(n) = \sum_{k=0}^n (-3)^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3},$$

for which the univariate congruences are still open.

# Short random walks

joint work with:



Jon Borwein  
U. Newcastle, AU



Dirk Nuyens  
K.U.Leuven, BE



James Wan  
SUTD, SG



Wadim Zudilin  
U. Newcastle, AU

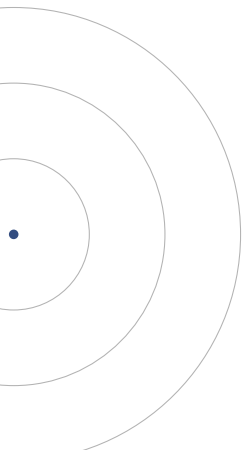
# Random walks in the plane

$n$  steps in the plane  
(length 1, random direction)

What is the distance traveled in  $n$  steps?

$p_n(x)$  probability density

$W_n(s)$   $s$ th moment



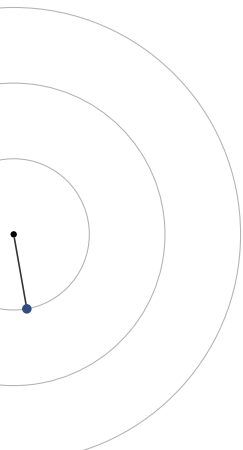
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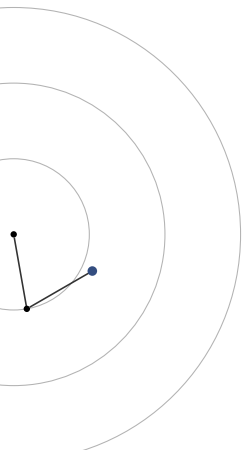
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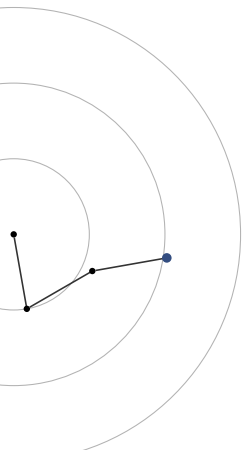
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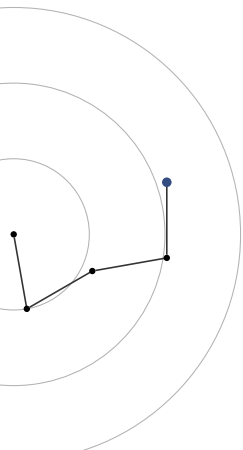
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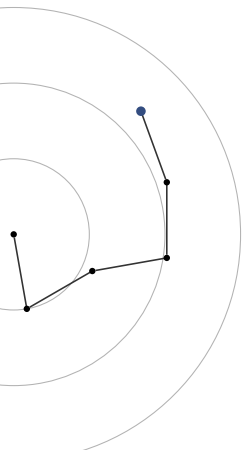
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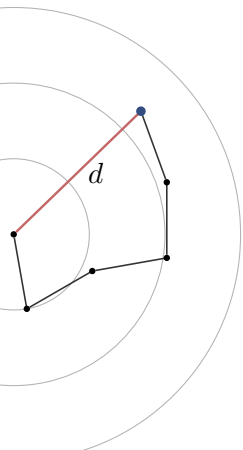
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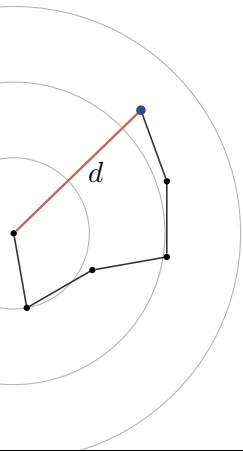
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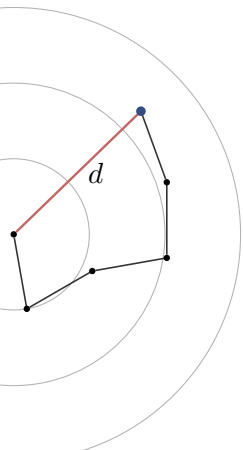
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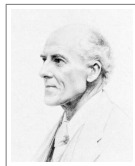
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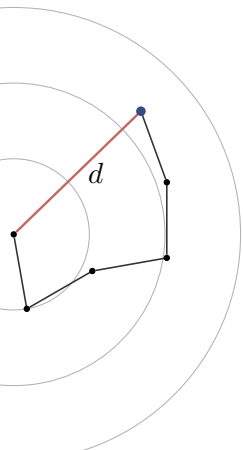
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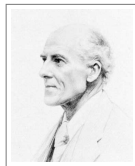
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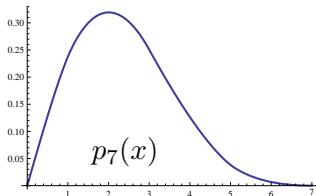
THM  
Rayleigh,  
1905

$$p_n(x) \approx \frac{2x}{n} e^{-x^2/n} \quad \text{for large } n$$

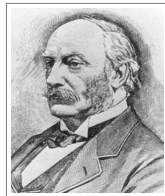
# Long random walks

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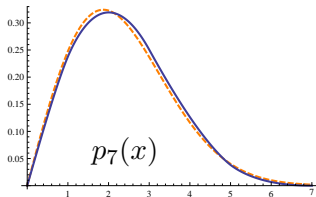
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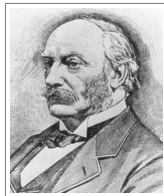
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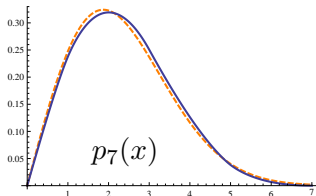
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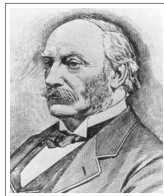
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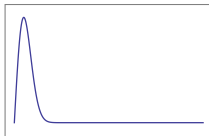


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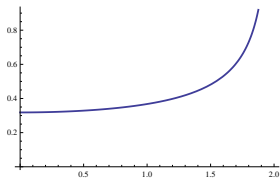
*The lesson of Lord Rayleigh's solution is that in open country the most probable place to find a drunken man who is at all capable of keeping on his feet is somewhere near his starting point!*

**Karl Pearson, 1905**

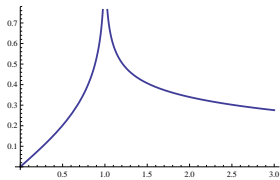


# Densities of short walks

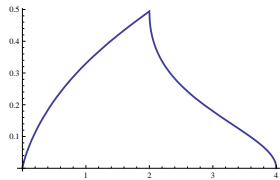
$p_2$



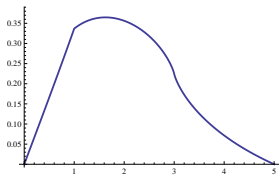
$p_3$



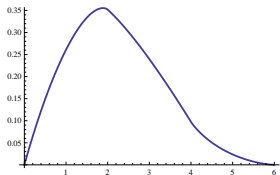
$p_4$



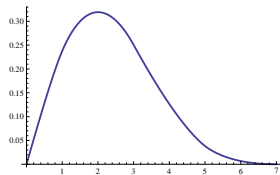
$p_5$



$p_6$



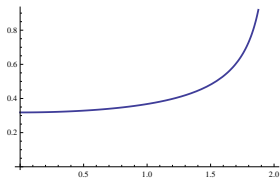
$p_7$



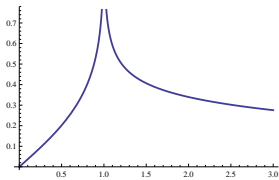


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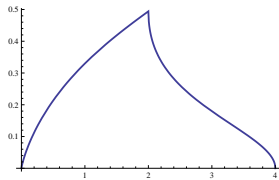
$p_2$



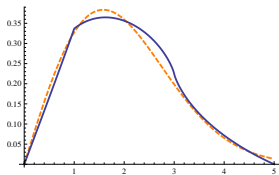
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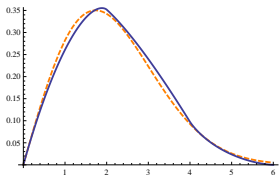
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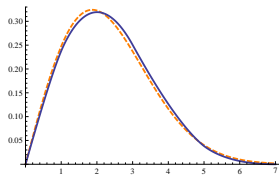
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$$p_2(x) = \frac{2}{\pi\sqrt{4-x^2}}$$

easy

$$p_3(x) = \operatorname{Re} \left( \frac{\sqrt{x}}{\pi^2} K \left( \sqrt{\frac{(x+1)^3(3-x)}{16x}} \right) \right)$$

G. J. Bennett  
1905

$$p_4(x) = ??$$

⋮

$$p_n(x) = \int_0^\infty xtJ_0(xt)J_0^n(t) dt$$

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# Classical results on the densities

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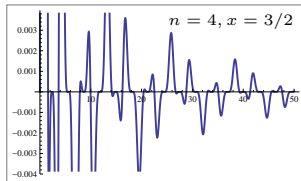
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# The average distance traveled in two steps

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$$W_2(1) = \int_0^1 \int_0^1 |e^{2\pi ix} + e^{2\pi iy}| \, dx dy = ?$$

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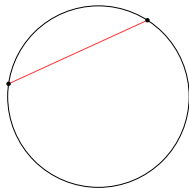
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- This is the average length of a random arc on a unit circle.





# The moments of random walks

**DEF** The  $s$ th moment  $W_n(s)$  of the density  $p_n$ :

$$W_n(s) := \int_0^\infty x^s p_n(x) dx = \int_{[0,1]^n} |e^{2\pi i x_1} + \dots + e^{2\pi i x_n}|^s dx$$

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- Hard to evaluate numerically to high precision.

Monte-Carlo integration gives approximations with an asymptotic error of  $O(1/\sqrt{N})$  where  $N$  is the number of sample points.

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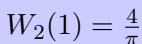
$n$	$s = 1$	$s = 2$	$s = 3$	$s = 4$	$s = 5$	$s = 6$	$s = 7$
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4	1.799	4.000	10.12	28.00	82.65	256.0	822.3
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# The even moments

$n$	$s = 0$	$s = 2$	$s = 4$	$s = 6$	$s = 8$	$s = 10$	Sloane's
2	1	2	6	20	70	252	A000984
3	1	3	15	93	639	4653	A002893
4	1	4	28	256	2716	31504	A002895
5	1	5	45	545	7885	127905	A169714
6	1	6	66	996	18306	384156	A169715

EG

$$W_3(2k) = \sum_{j=0}^k \binom{k}{j}^2 \binom{2j}{j}$$

Apéry-like

$$W_4(2k) = \sum_{j=0}^k \binom{k}{j}^2 \binom{2j}{j} \binom{2(k-j)}{k-j}$$

Domb numbers

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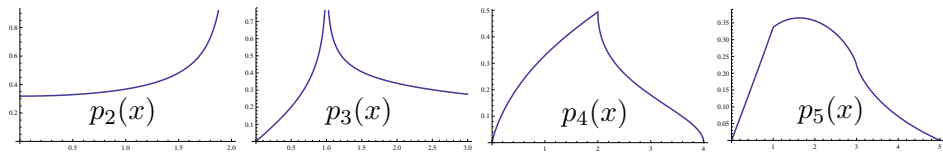
Domb numbers

THM

Borwein-  
Nuyens-  
S-Wan,  
2010

$$W_3(1) = \frac{3}{16} \frac{2^{1/3}}{\pi^4} \Gamma^6\left(\frac{1}{3}\right) + \frac{27}{4} \frac{2^{2/3}}{\pi^4} \Gamma^6\left(\frac{2}{3}\right)$$

# Densities of random walks



$$p_2(x) = \frac{2}{\pi\sqrt{4-x^2}}$$

easy

$$p_3(x) = \frac{2\sqrt{3}}{\pi} \frac{x}{(3+x^2)^2} {}_2F_1\left(\frac{1}{3}, \frac{2}{3} \middle| \frac{x^2(9-x^2)^2}{(3+x^2)^3}\right)$$

classical  
with a spin

$$p_4(x) = \frac{2}{\pi^2} \frac{\sqrt{16-x^2}}{x} \operatorname{Re} {}_3F_2\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2} \middle| \frac{(16-x^2)^3}{108x^4}\right)$$

new  
BSWZ 2011

$$p_5'(0) = \frac{\sqrt{5}}{40\pi^4} \Gamma\left(\frac{1}{15}\right)\Gamma\left(\frac{2}{15}\right)\Gamma\left(\frac{4}{15}\right)\Gamma\left(\frac{8}{15}\right) \approx 0.32993$$

# Ramanujan-type series for $1/\pi$

$$\frac{4}{\pi} = 1 + \frac{7}{4} \left(\frac{1}{2}\right)^3 + \frac{13}{4^2} \left(\frac{1.3}{2.4}\right)^3 + \frac{19}{4^3} \left(\frac{1.3.5}{2.4.6}\right)^3 + \dots$$

Based on joint work with:



Mathew Rogers  
(University of Montreal)

# Ramanujan's series for $1/\pi$

$$\frac{4}{\pi} = 1 + \frac{7}{4} \left(\frac{1}{2}\right)^3 + \frac{13}{4^2} \left(\frac{1.3}{2.4}\right)^3 + \frac{19}{4^3} \left(\frac{1.3.5}{2.4.6}\right)^3 + \dots$$

$$= \sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (6n+1) \frac{1}{4^n}$$

$$\frac{8}{\pi} = \sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (42n+5) \frac{1}{2 \cdot 6^n}$$



- Starred in High School Musical, a 2006 Disney production



## Srinivasa Ramanujan

*Modular equations and approximations to  $\pi$*   
Quart. J. Math., Vol. 45, p. 350–372, 1914

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## Srinivasa Ramanujan

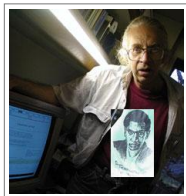
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## Another one of Ramanujan's series

$$\frac{1}{\pi} = \frac{2\sqrt{2}}{9801} \sum_{n=0}^{\infty} \frac{(4n)!}{n!^4} \frac{1103 + 26390n}{396^{4n}}$$

- Used by R. W. Gosper in 1985 to compute 17,526,100 digits of  $\pi$

Correctness of first 3 million digits showed that the series sums to  $1/\pi$  in the first place.



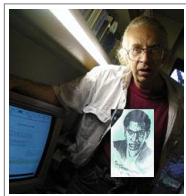
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- First proof of all of Ramanujan's 17 series for  $1/\pi$  by Borwein brothers



**Jonathan M. Borwein and Peter B. Borwein**

*Pi and the AGM: A Study in Analytic Number Theory and Computational Complexity*  
Wiley, 1987



- Sato observed that series for  $\frac{1}{\pi}$  can be built from Apéry-like numbers:

EG  
Chan-  
Chan-Liu  
2003

For the Domb numbers  $D(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$ ,

$$\frac{8}{\sqrt{3}\pi} = \sum_{n=0}^{\infty} D(n) \frac{5n+1}{26^n}.$$

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$$\frac{8}{\sqrt{3}\pi} = \sum_{n=0}^{\infty} D(n) \frac{5n+1}{2^{6n}}.$$

- Sun offered a \$520 bounty for a proof the following series:

THM  
Rogers-S  
2012

$$\frac{520}{\pi} = \sum_{n=0}^{\infty} \frac{1054n+233}{480^n} \binom{2n}{n} \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{n} (-1)^k 8^{2k-n}$$

# A brief guide to proving series for $1/\pi$

- Suppose we have a sequence  $a_n$  with **modular parametrization**

$$\sum_{n=0}^{\infty} a_n \underbrace{x(\tau)^n}_{\text{modular function}} = \underbrace{f(\tau)}_{\text{modular form}} .$$

- Then:

$$\sum_{n=0}^{\infty} a_n (A + Bn) x(\tau)^n = Af(\tau) + B \frac{x(\tau)}{x'(\tau)} f'(\tau)$$

$$\sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (42n + 5) \frac{1}{2^{6n}} = \frac{16}{\pi}$$

# A brief guide to proving series for $1/\pi$

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## FACT

- For  $\tau \in \mathbb{Q}(\sqrt{-d})$ ,  $x(\tau)$  is an algebraic number.
- $f'(\tau)$  is a **quasimodular** form.
- Prototypical  $E_2(\tau)$  satisfies  $\tau^{-2} E_2(-\frac{1}{\tau}) - E_2(\tau) = \frac{6}{\pi i \tau}$ .

- These are the main ingredients for series for  $1/\pi$ . Mix and stir.

# Positivity of rational functions

$$\frac{1}{1 - (x + y + z + w) + 2(yzw + xzw + xyw + xyz) + 4xyzw}$$

Based on joint work with:



Wadim Zudilin  
(University of Newcastle)

# Positivity of rational functions

- A rational function

$$F(x_1, \dots, x_d) = \sum_{n_1, \dots, n_d \geq 0} a_{n_1, \dots, n_d} x_1^{n_1} \cdots x_d^{n_d}$$

is **positive** if  $a_{n_1, \dots, n_d} > 0$  for all indices.

**EG** The following rational functions are positive.

$$S(x, y, z) = \frac{1}{1 - (x + y + z) + \frac{3}{4}(xy + yz + zx)}$$

$$A(x, y, z) = \frac{1}{1 - (x + y + z) + 4xyz}$$

Szegő '33

Kaluza '33

Askey–Gasper '72

S '08

Askey–Gasper '77

Koornwinder '78

Ismail–Tamhankar '79

Gillis–Reznick–Zeilberger '83

- Both functions are on the boundary of positivity.

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- Both functions are on the boundary of positivity.

- The diagonal coefficients of  $A$  are the **Franel numbers**  $\sum_{k=0}^n \binom{n}{k}^3$ .

# Positivity of rational functions

CONJ  
Kauers-  
Zeilberger  
2008

The following rational function is positive:

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- Would imply conjectured positivity of Lewy–Askey rational function

$$\frac{1}{1 - (x + y + z + w) + \frac{2}{3}(xy + xz + xw + yz + yw + zw)}.$$

Recent proof of non-negativity by Scott and Sokal, 2013



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**PROP**  
S-Zudilin  
2013

The Kauers–Zeilberger function has diagonal coefficients

$$d_n = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{n}^2.$$

## Positivity of rational functions

- Consider rational functions  $F = 1/p(x_1, \dots, x_d)$  with  $p$  a symmetric polynomial, linear in each variable.

**Q** Under what condition(s) is the positivity of  $F$  implied by the positivity of its diagonal?

**EG**  $\frac{1}{1+x+y}$  has positive diagonal coefficients but is not positive.

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**THM**  
S-Zudilin  
2013

$F(x, y) = \frac{1}{1 + c_1(x + y) + c_2xy}$  is positive

$\iff$  diagonal of  $F$  and  $F|_{x_d=0}$  are positive

# Summary and some open problems

- Apéry-like numbers are integer solutions to certain three-term recurrences
  - is the experimental list complete?
  - higher-order analogs, Calabi–Yau DEs
- Apéry-like numbers have interesting properties
  - modular parametrization; uniform explanation?
  - supercongruences; still open in several cases
- Apéry-like numbers occur in interesting places
  - moments of planar random walks
  - series for  $1/\pi$
  - positivity of rational functions
  - counting points on algebraic varieties
  - ...





# THANK YOU!

Slides for this talk will be available from my website:  
<http://arminstraub.com/talks>



## **A. Straub**

*Multivariate Apéry numbers and supercongruences of rational functions*  
Preprint, 2014



## **R. Osburn, B. Sahu, A. Straub**

*Supercongruences for sporadic sequences*  
to appear in Proceedings of the Edinburgh Mathematical Society, 2014



## **A. Straub, W. Zudilin**

*Positivity of rational functions and their diagonals*  
to appear in Journal of Approximation Theory (special issue dedicated to Richard Askey), 2014



## **M. Rogers, A. Straub**

*A solution of Sun's \$520 challenge concerning  $520/\pi$*   
International Journal of Number Theory, Vol. 9, Nr. 5, 2013, p. 1273-1288



## **J. Borwein, A. Straub, J. Wan, W. Zudilin (appendix by D. Zagier)**

*Densities of short uniform random walks*  
Canadian Journal of Mathematics, Vol. 64, Nr. 5, 2012, p. 961-990