

Properties and applications of Apéry-like numbers

Mathematics Colloquium
University of South Alabama

Armin Straub

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University of Illinois at Urbana–Champaign

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

1, 5, 73, 1445, 33001, 819005, 21460825, . . .



Jon Borwein



Dirk Nuyens



James Wan



Wadim Zudilin



Robert Osburn



Brundaban Sahu



Mathew Rogers

- Introducing Apéry-like numbers
 - they are integer solutions to certain three-term recurrences
 - are all of them known?
- Apéry-like numbers have interesting properties
 - connection to modular forms (uniform explanation?)
 - supercongruences (still open in several cases)
 - multivariate extensions (largely unexplored)
 - polynomial analogs (skipped today)
- Apéry-like numbers occur in interesting places
 - moments of planar random walks
 - series for $1/\pi$
 - positivity of rational functions (if time permits)
 - counting points on algebraic varieties (skipped today)
 - ...

The Riemann zeta function

- The **Riemann zeta function** is the analytic continuation of

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}}.$$

- Its zeros and values are fundamental, yet mysterious to this day.

CONJ
RH

If $\zeta(s) = 0$ then $s \in \{-2, -4, \dots\}$ or $\operatorname{Re}(s) = \frac{1}{2}$.

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THM
Euler
1734

$$\zeta(2) = \frac{\pi^2}{6}, \quad \zeta(4) = \frac{\pi^4}{90}, \quad \dots, \quad \zeta(2n) = \frac{(-1)^{n+1} (2\pi)^{2n} B_{2n}}{2(2n)!}$$

CONJ

The values $\zeta(3), \zeta(5), \zeta(7), \dots$ are all transcendental.

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THM
Apéry '78

$\zeta(3)$ is irrational.

- The **Apéry numbers**

1, 5, 73, 1445, ...

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

satisfy

$$(n+1)^3 A(n+1) = (2n+1)(17n^2 + 17n + 5)A(n) - n^3 A(n-1).$$

Apéry numbers and the irrationality of $\zeta(3)$

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THM Apéry '78 $\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$ is irrational.

proof The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left(\sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then, $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$. But too fast for $\zeta(3)$ to be rational. \square

Zagier's search and Apéry-like numbers

- Recurrence for Apéry numbers is the case $(a, b, c) = (17, 5, 1)$ of

$$(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - cn^3 u_{n-1}.$$

Q
Beukers,
Zagier

Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0, u_0 = 1$ is integral?

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- Essentially, only 14 tuples (a, b, c) found. (Almkvist–Zudilin)
 - 4 hypergeometric and 4 Legendrian solutions
 - 6 sporadic solutions
- Similar (and intertwined) story for:
 - $(n + 1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}$ (Beukers, Zagier)
 - $(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$ (Cooper)

The six sporadic Apéry-like numbers

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Apéry numbers
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Domb numbers
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	Almkvist-Zudilin numbers
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left(\binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$	
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	

Apéry-like numbers and modular forms

- The Apéry numbers $A(n)$ satisfy

1, 5, 73, 1145, ...

$$\underbrace{\frac{\eta^7(2\tau)\eta^7(3\tau)}{\eta^5(\tau)\eta^5(6\tau)}}_{\text{modular form}} = \sum_{n \geq 0} A(n) \underbrace{\left(\frac{\eta^{12}(\tau)\eta^{12}(6\tau)}{\eta^{12}(2\tau)\eta^{12}(3\tau)} \right)^n}_{\text{modular function}} .$$

$1 + 5q + 13q^2 + 23q^3 + O(q^4)$ $q - 12q^2 + 66q^3 + O(q^4)$ $q = e^{2\pi i\tau}$

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- The **Dedekind eta function**

$$\eta(\tau) = q^{1/24} \prod_{n \geq 1} (1 - q^n)$$

is a modular form of weight $1/2$ and transforms as

$$\eta(\tau + 1) = e^{\pi i/12} \eta(\tau), \quad \eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau).$$

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FACT Not at all evidently, such a **modular parametrization** exists for all known Apéry-like numbers!

Supercongruences for Apéry numbers

- Chowla, Cowles, Cowles (1980) conjectured that, for primes $p \geq 5$,

$$A(p) \equiv 5 \pmod{p^3}.$$

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THM
Beukers,
Coster
'85, '88

The Apéry numbers satisfy the **supercongruence** $(p \geq 5)$

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EG For primes p , simple combinatorics proves the congruence

$$\binom{2p}{p} = \sum_k \binom{p}{k} \binom{p}{p-k} \equiv 1 + 1 \pmod{p^2}.$$

For $p \geq 5$, Wolstenholme's congruence shows that, in fact,

$$\binom{2p}{p} \equiv 2 \pmod{p^3}.$$

Supercongruences for Apéry-like numbers



Robert Osburn
(University of Dublin)



Brundaban Sahu
(NISER, India)

- Conjecturally, supercongruences like

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}$$

hold for all Apéry-like numbers.

Osburn–Sahu '09

- Current state of affairs for the six sporadic sequences from earlier:

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Beukers, Coster '87-'88
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	Osburn–Sahu–S '14
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Osburn–Sahu '11
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	open!! modulo p^2 Amdeberhan '14
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left(\binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$	Osburn–Sahu–S '14
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$$a(mp^r) \equiv a(mp^{r-1}) \pmod{p^r} \quad (C)$$

- **realizable** sequences $a(n)$, i.e., for some map $T : X \rightarrow X$,

$$a(n) = \#\{x \in X : T^n x = x\} \quad \text{“points of period } n\text{”}$$

Everest–van der Poorten–Puri–Ward '02, Arias de Reyna '05

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- $a(n) = \text{ct } \Lambda(x)^n$ van Straten–Samol '09

if origin is only interior pt of the Newton polyhedron of $\Lambda(x) \in \mathbb{Z}_p[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$

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if origin is only interior pt of the Newton polyhedron of $\Lambda(x) \in \mathbb{Z}_p[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$
- If $a(1) = 1$, then (C) is equivalent to $\exp\left(\sum_{n=1}^{\infty} \frac{a(n)}{n} T^n\right) \in \mathbb{Z}[[T]]$.
This is a natural condition in **formal group theory**.

Cooper's sporadic sequences

- Cooper's search for integral solutions to

$$(n+1)^3 u_{n+1} = (2n+1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$$

revealed three additional sporadic solutions:

s_{10} and supercongruence known

$$s_7(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k} \binom{2k}{n}$$
$$s_{10}(n) = \sum_{k=0}^n \binom{n}{k}^4$$
$$s_{18}(n) = \sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k} \left[\binom{2n-3k-1}{n} + \binom{2n-3k}{n} \right]$$

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CONJ
Cooper
2012

$$s_7(mp) \equiv s_7(m) \pmod{p^3} \quad p \geq 3$$
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THM

Osburn-
Sahu-S
2014

$$s_7(mp^r) \equiv s_7(mp^{r-1}) \pmod{p^{3r}} \quad p \geq 5$$

$$s_{18}(mp^r) \equiv s_{18}(mp^{r-1}) \pmod{p^{2r}}$$

Diagonals

- Given a series

$$F(x_1, \dots, x_d) = \sum_{n_1, \dots, n_d \geq 0} a(n_1, \dots, n_d) x_1^{n_1} \cdots x_d^{n_d},$$

its **diagonal coefficients** are the coefficients $a(n, \dots, n)$.

EG The diagonal coefficients of

$$\frac{1}{1 - x - y}$$

are the central binomial coefficients $\binom{2n}{n}$.

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For comparison, their univariate generating function is

$$\sum_{n=0}^{\infty} \binom{2n}{n} x^n = \frac{1}{\sqrt{1 - 4x}}.$$

THM
S 2014

The Apéry numbers are the diagonal coefficients of

$$\frac{1}{(1 - x_1 - x_2)(1 - x_3 - x_4) - x_1x_2x_3x_4}.$$

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- Univariate generating function:

$$\sum_{n \geq 0} A(n)x^n = \frac{17-x-z}{4\sqrt{2}(1+x+z)^{3/2}} {}_3F_2 \left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| -\frac{1024x}{(1-x+z)^4} \right),$$

where $z = \sqrt{1-34x+x^2}$.

THM
S 2014

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where $z = \sqrt{1-34x+x^2}$.

- Well-developed theory of multivariate asymptotics

e.g., Pemantle–Wilson

Apéry numbers as diagonals

THM
S 2014

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- Well-developed theory of multivariate asymptotics
- Such diagonals are algebraic modulo p^r .

e.g., Pemantle–Wilson

Furstenberg, Deligne '67, '84

Automatically leads to congruences such as

$$A(n) \equiv \begin{cases} 1 & (\text{mod } 8), \text{ if } n \text{ even,} \\ 5 & (\text{mod } 8), \text{ if } n \text{ odd.} \end{cases}$$

Chowla–Cowles–Cowles '80
Rowland–Yassawi '13

THM
S 2014

Define $A(\mathbf{n}) = A(n_1, n_2, n_3, n_4)$ by

$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} A(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

- The Apéry numbers are the diagonal coefficients.
- For $p \geq 5$, we have the **multivariate supercongruences**

$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

THM
S 2014

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- The Apéry numbers are the diagonal coefficients.
- For $p \geq 5$, we have the **multivariate supercongruences**

$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- $\sum_{n \geq 0} a(n)x^n = F(x) \implies \sum_{n \geq 0} a(pn)x^{pn} = \frac{1}{p} \sum_{k=0}^{p-1} F(\zeta_p^k x) \quad \zeta_p = e^{2\pi i/p}$
- Hence, both $A(\mathbf{np}^r)$ and $A(\mathbf{np}^{r-1})$ have rational generating function. The proof, however, relies on an explicit binomial sum for the coefficients.

A conjectural multivariate supercongruence

CONJ
S 2014

The coefficients $Z(\mathbf{n})$ of

$$\frac{1}{1 - (x_1 + x_2 + x_3 + x_4) + 27x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} Z(\mathbf{n})x^{\mathbf{n}}$$

satisfy, for $p \geq 5$, the multivariate supercongruences

$$Z(\mathbf{np}^r) \equiv Z(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- Here, the diagonal coefficients are the **Almkvist–Zudilin numbers**

$$Z(n) = \sum_{k=0}^n (-3)^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3},$$

for which the univariate congruences are still open.

Short random walks

joint work with:



Jon Borwein
U. Newcastle, AU



Dirk Nuyens
K.U.Leuven, BE



James Wan
SUTD, SG



Wadim Zudilin
U. Newcastle, AU

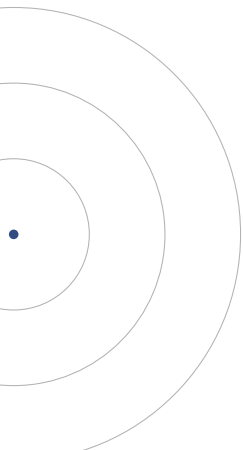
Random walks in the plane

n steps in the plane
(length 1, random direction)

What is the distance traveled in n steps?

$p_n(x)$ probability density

$W_n(s)$ s th moment



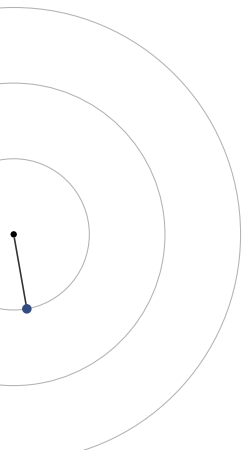
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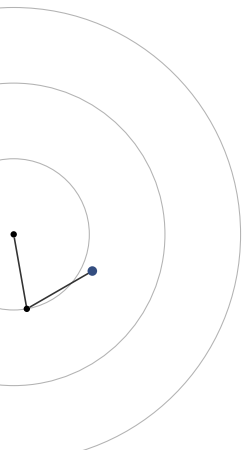
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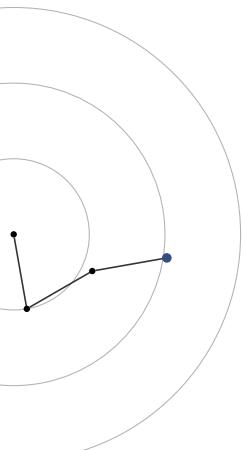
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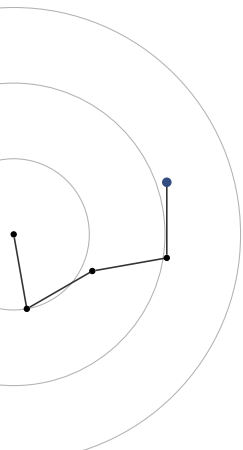
Random walks in the plane

n steps in the plane
(length 1, random direction)

What is the distance traveled in n steps?

$p_n(x)$ probability density

$W_n(s)$ s th moment



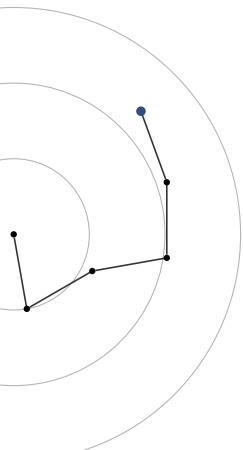
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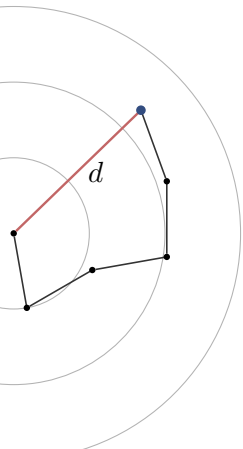
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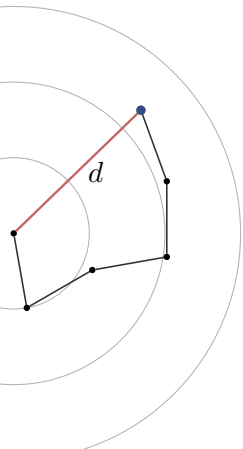
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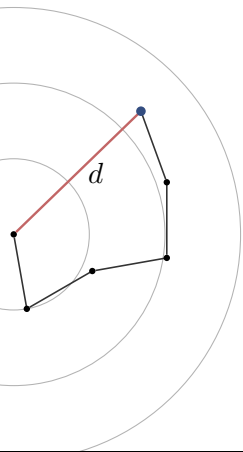
EG

$$W_2(1) = \frac{4}{\pi}$$

Random walks in the plane

n steps in the plane

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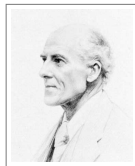
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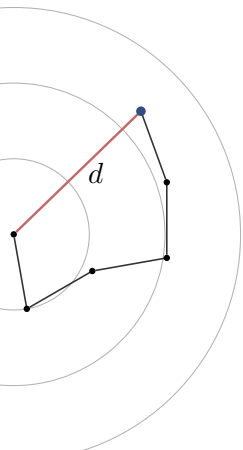
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- Karl Pearson famously asked for $p_n(x)$ in 1905, coining the term **random walk**.



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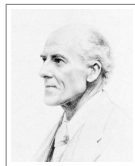
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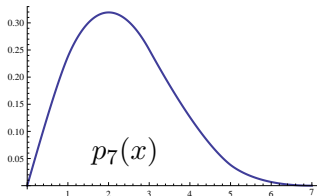
THM
Rayleigh,
1905

$$p_n(x) \approx \frac{2x}{n} e^{-x^2/n} \quad \text{for large } n$$

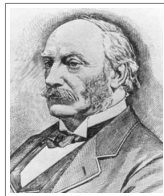
Long random walks

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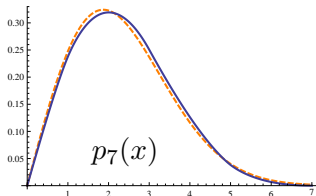
$$W_n(1) \approx \sqrt{n\pi}/2$$



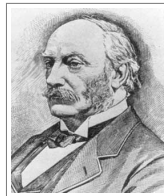
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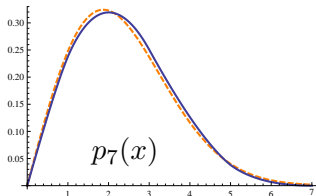
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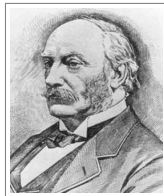
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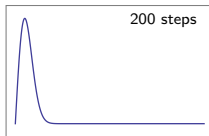


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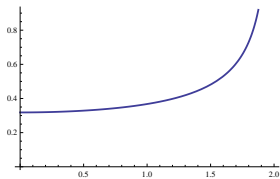
The lesson of Lord Rayleigh's solution is that in open country the most probable place to find a drunken man who is at all capable of keeping on his feet is somewhere near his starting point!

Karl Pearson, 1905

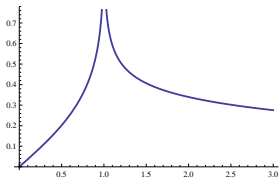


Densities of short walks

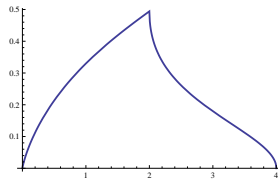
p_2



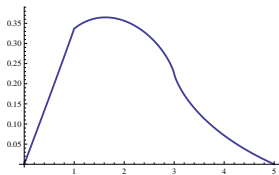
p_3



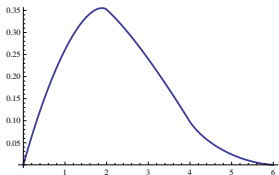
p_4



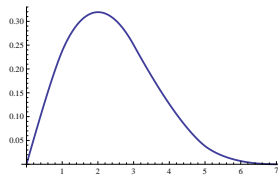
p_5



p_6

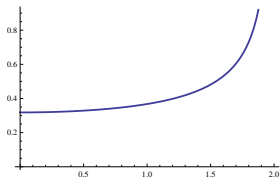


p_7

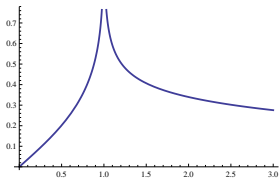


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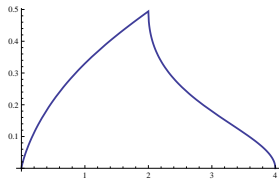
p_2



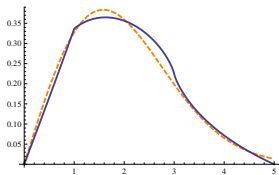
p_3



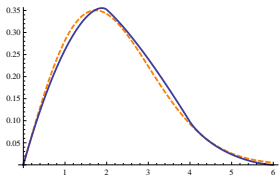
p_4



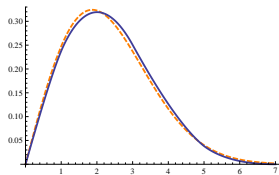
p_5



p_6



p_7



$$p_2(x) = \frac{2}{\pi\sqrt{4-x^2}}$$

easy

$$p_3(x) = \operatorname{Re} \left(\frac{\sqrt{x}}{\pi^2} K \left(\sqrt{\frac{(x+1)^3(3-x)}{16x}} \right) \right)$$

G. J. Bennett
1905

$$p_4(x) = ??$$

⋮

$$p_n(x) = \int_0^\infty xt J_0(xt) J_0^n(t) dt$$

J. C. Kluyver
1906

Classical results on the densities

$$p_2(x) = \frac{2}{\pi\sqrt{4-x^2}}$$

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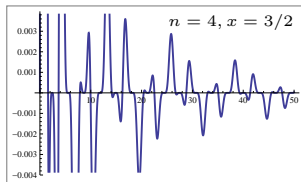
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The average distance traveled in two steps

- The average distance in two steps:

$$W_2(1) = \int_0^1 \int_0^1 |e^{2\pi ix} + e^{2\pi iy}| \, dx dy = ?$$

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$$\begin{aligned}W_2(1) &= \int_0^1 \int_0^1 |e^{2\pi i x} + e^{2\pi i y}| \, dx dy = ? \\ &= \int_0^1 |1 + e^{2\pi i y}| \, dy\end{aligned}$$

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$$\begin{aligned}|1 + e^{2\pi i y}| \\ &= |1 + (\cos \pi y + i \sin \pi y)^2| \\ &= 2 \cos(\pi y)\end{aligned}$$

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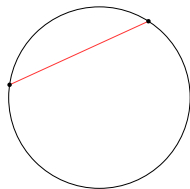
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- This is the average length of a random arc on a unit circle.



The moments of random walks

DEF The s th moment $W_n(s)$ of the density p_n :

$$W_n(s) := \int_0^\infty x^s p_n(x) dx = \int_{[0,1]^n} |e^{2\pi i x_1} + \dots + e^{2\pi i x_n}|^s dx$$

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- On a desktop:

$$W_3(1) \approx 1.57459723755189365749$$

$$W_4(1) \approx 1.79909248$$

$$W_5(1) \approx 2.00816$$

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- Hard to evaluate numerically to high precision.

Monte-Carlo integration gives approximations with an asymptotic error of $O(1/\sqrt{N})$ where N is the number of sample points.

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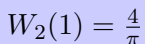
n	$s = 1$	$s = 2$	$s = 3$	$s = 4$	$s = 5$	$s = 6$	$s = 7$
2	1.273	2.000	3.395	6.000	10.87	20.00	37.25
3	1.575	3.000	6.452	15.00	36.71	93.00	241.5
4	1.799	4.000	10.12	28.00	82.65	256.0	822.3
5	2.008	5.000	14.29	45.00	152.3	545.0	2037.
6	2.194	6.000	18.91	66.00	248.8	996.0	4186.

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The even moments

n	$s = 0$	$s = 2$	$s = 4$	$s = 6$	$s = 8$	$s = 10$	Sloane's
2	1	2	6	20	70	252	A000984
3	1	3	15	93	639	4653	A002893
4	1	4	28	256	2716	31504	A002895
5	1	5	45	545	7885	127905	A169714
6	1	6	66	996	18306	384156	A169715

EG

$$W_3(2k) = \sum_{j=0}^k \binom{k}{j}^2 \binom{2j}{j}$$

Apéry-like

$$W_4(2k) = \sum_{j=0}^k \binom{k}{j}^2 \binom{2j}{j} \binom{2(k-j)}{k-j}$$

Domb numbers

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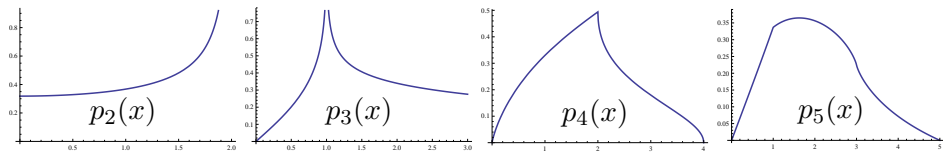
Domb numbers

THM

Borwein-
Nuyens-
S-Wan,
2010

$$W_3(1) = \frac{3}{16} \frac{2^{1/3}}{\pi^4} \Gamma^6\left(\frac{1}{3}\right) + \frac{27}{4} \frac{2^{2/3}}{\pi^4} \Gamma^6\left(\frac{2}{3}\right)$$

Densities of random walks



$$p_2(x) = \frac{2}{\pi\sqrt{4-x^2}}$$

easy

$$p_3(x) = \frac{2\sqrt{3}}{\pi} \frac{x}{(3+x^2)} {}_2F_1\left(\frac{1}{3}, \frac{2}{3} \middle| \frac{x^2(9-x^2)^2}{(3+x^2)^3}\right)$$

classical
with a spin

$$p_4(x) = \frac{2}{\pi^2} \frac{\sqrt{16-x^2}}{x} \operatorname{Re} {}_3F_2\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2} \middle| \frac{(16-x^2)^3}{108x^4}\right)$$

new
BSWZ 2011

$$p_5'(0) = \frac{\sqrt{5}}{40\pi^4} \Gamma\left(\frac{1}{15}\right)\Gamma\left(\frac{2}{15}\right)\Gamma\left(\frac{4}{15}\right)\Gamma\left(\frac{8}{15}\right) \approx 0.32993$$

Ramanujan-type series for $1/\pi$

$$\frac{4}{\pi} = 1 + \frac{7}{4} \left(\frac{1}{2}\right)^3 + \frac{13}{4^2} \left(\frac{1.3}{2.4}\right)^3 + \frac{19}{4^3} \left(\frac{1.3.5}{2.4.6}\right)^3 + \dots$$

Based on joint work with:



Mathew Rogers
(University of Montreal)

Ramanujan's series for $1/\pi$

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$$= \sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (6n+1) \frac{1}{4^n}$$

$$\frac{8}{\pi} = \sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (42n+5) \frac{1}{2 \cdot 6^n}$$

- Starred in High School Musical, a 2006 Disney production



Srinivasa Ramanujan

Modular equations and approximations to π
Quart. J. Math., Vol. 45, p. 350–372, 1914

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Srinivasa Ramanujan

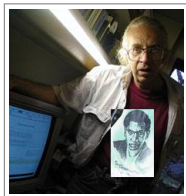
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Another one of Ramanujan's series

$$\frac{1}{\pi} = \frac{2\sqrt{2}}{9801} \sum_{n=0}^{\infty} \frac{(4n)!}{n!^4} \frac{1103 + 26390n}{396^{4n}}$$

- Used by R. W. Gosper in 1985 to compute 17,526,100 digits of π

Correctness of first 3 million digits showed that the series sums to $1/\pi$ in the first place.



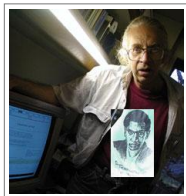
Another one of Ramanujan's series

$$\frac{1}{\pi} = \frac{2\sqrt{2}}{9801} \sum_{n=0}^{\infty} \frac{(4n)!}{n!^4} \frac{1103 + 26390n}{396^{4n}}$$

- Used by R. W. Gosper in 1985 to compute 17,526,100 digits of π

Correctness of first 3 million digits showed that the series sums to $1/\pi$ in the first place.

- First proof of all of Ramanujan's 17 series for $1/\pi$ by Borwein brothers



Jonathan M. Borwein and Peter B. Borwein

Pi and the AGM: A Study in Analytic Number Theory and Computational Complexity
Wiley, 1987

- Sato observed that series for $\frac{1}{\pi}$ can be built from Apéry-like numbers:

EG
Chan-
Chan-Liu
2003

For the Domb numbers $D(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$,

$$\frac{8}{\sqrt{3}\pi} = \sum_{n=0}^{\infty} D(n) \frac{5n+1}{26^n}.$$

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$$\frac{8}{\sqrt{3}\pi} = \sum_{n=0}^{\infty} D(n) \frac{5n+1}{2^{6n}}.$$

- Sun offered a \$520 bounty for a proof the following series:

THM
Rogers-S
2012

$$\frac{520}{\pi} = \sum_{n=0}^{\infty} \frac{1054n+233}{480^n} \binom{2n}{n} \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{n} (-1)^k 8^{2k-n}$$

A brief guide to proving series for $1/\pi$

- Suppose we have a sequence a_n with **modular parametrization**

$$\sum_{n=0}^{\infty} a_n \underbrace{x(\tau)^n}_{\text{modular function}} = \underbrace{f(\tau)}_{\text{modular form}} .$$

- Then:

$$\sum_{n=0}^{\infty} a_n (A + Bn) x(\tau)^n = Af(\tau) + B \frac{x(\tau)}{x'(\tau)} f'(\tau)$$

$$\sum_{n=0}^{\infty} \frac{(1/2)_n^3}{n!^3} (42n + 5) \frac{1}{2^{6n}} = \frac{16}{\pi}$$

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FACT

- For $\tau \in \mathbb{Q}(\sqrt{-d})$, $x(\tau)$ is an algebraic number.
- $f'(\tau)$ is a **quasimodular** form.
- Prototypical $E_2(\tau)$ satisfies $\tau^{-2} E_2(-\frac{1}{\tau}) - E_2(\tau) = \frac{6}{\pi i \tau}$.

- These are the main ingredients for series for $1/\pi$. Mix and stir.

Positivity of rational functions

$$\frac{1}{1 - (x + y + z + w) + 2(yzw + xzw + xyw + xyz) + 4xyzw}$$

Based on joint work with:



Wadim Zudilin
(University of Newcastle)

Positivity of rational functions

- A rational function

$$F(x_1, \dots, x_d) = \sum_{n_1, \dots, n_d \geq 0} a_{n_1, \dots, n_d} x_1^{n_1} \cdots x_d^{n_d}$$

is **positive** if $a_{n_1, \dots, n_d} > 0$ for all indices.

EG The following rational functions are positive.

$$S(x, y, z) = \frac{1}{1 - (x + y + z) + \frac{3}{4}(xy + yz + zx)}$$

$$A(x, y, z) = \frac{1}{1 - (x + y + z) + 4xyz}$$

Szegő '33

Kaluza '33

Askey–Gasper '72

S '08

Askey–Gasper '77

Koornwinder '78

Ismail–Tamhankar '79

Gillis–Reznick–Zeilberger '83

- Both functions are on the boundary of positivity.

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- The diagonal coefficients of A are the **Franel numbers** $\sum_{k=0}^n \binom{n}{k}^3$.

Positivity of rational functions

CONJ
Kauers-
Zeilberger
2008

The following rational function is positive:

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- Would imply conjectured positivity of Lewy–Askey rational function

$$\frac{1}{1 - (x + y + z + w) + \frac{2}{3}(xy + xz + xw + yz + yw + zw)}.$$

Recent proof of non-negativity by Scott and Sokal, 2013

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Recent proof of non-negativity by Scott and Sokal, 2013

PROP
S-Zudilin
2013

The Kauers–Zeilberger function has diagonal coefficients

$$d_n = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{n}^2.$$

Positivity of rational functions

- Consider rational functions $F = 1/p(x_1, \dots, x_d)$ with p a symmetric polynomial, linear in each variable.

Q Under what condition(s) is the positivity of F implied by the positivity of its diagonal?

EG

- $\frac{1}{1 - (x + y)}$ is positive.
- $\frac{1}{1 + x + y}$ has positive diagonal but is not positive.

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THM
S-Zudilin
2013

$$F(x, y) = \frac{1}{1 + c_1(x + y) + c_2xy} \text{ is positive}$$

\iff diagonal of F and $F|_{y=0}$ are positive

Summary and some open problems

- Apéry-like numbers are integer solutions to certain three-term recurrences
 - is the experimental list complete?
 - higher-order analogs, Calabi–Yau DEs
- Apéry-like numbers have interesting properties
 - modular parametrization; uniform explanation?
 - supercongruences; still open in several cases
 - do they all have natural multivariate extensions?
 - polynomial analogs
- Apéry-like numbers occur in interesting places
 - moments of planar random walks
 - series for $1/\pi$
 - positivity of rational functions
 - counting points on algebraic varieties
 - ...

THANK YOU!

Slides for this talk will be available from my website:
<http://arminstraub.com/talks>



A. Straub

Multivariate Apéry numbers and supercongruences of rational functions
Algebra & Number Theory, Vol. 8, Nr. 8, 2014, p. 1985-2008



R. Osburn, B. Sahu, A. Straub

Supercongruences for sporadic sequences
to appear in Proceedings of the Edinburgh Mathematical Society, 2014



A. Straub, W. Zudilin

Positivity of rational functions and their diagonals
to appear in Journal of Approximation Theory (special issue dedicated to Richard Askey), 2014



M. Rogers, A. Straub

A solution of Sun's \$520 challenge concerning $520/\pi$
International Journal of Number Theory, Vol. 9, Nr. 5, 2013, p. 1273-1288



J. Borwein, A. Straub, J. Wan, W. Zudilin (appendix by D. Zagier)

Densities of short uniform random walks
Canadian Journal of Mathematics, Vol. 64, Nr. 5, 2012, p. 961-990