

Divisibility properties of sporadic Apéry-like numbers

Special Session on Experimental Mathematics
AMS Spring Southeastern Sectional Meeting, Athens

Armin Straub

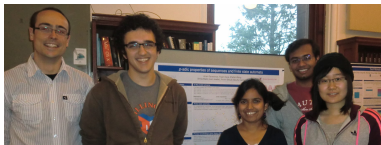
Mar 6, 2016

University of South Alabama

based on joint work with Amita Malik

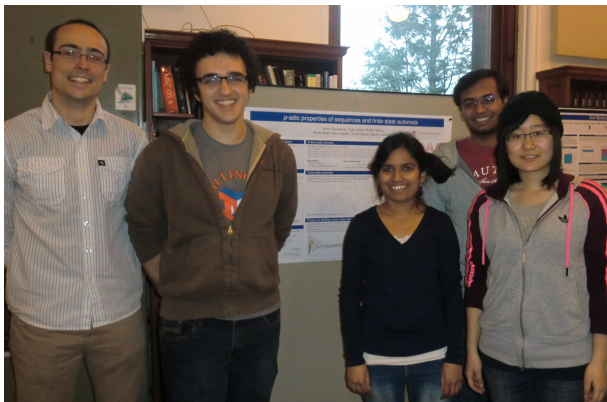
$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

1, 5, 73, 1445, 33001, 819005, 21460825, ...



Arian Daneshvar Amita Malik Zhefan Wang
Pujan Dave

(Illinois Geometry Lab, UIUC, Fall 2014)



Arian Daneshvar

Amita Malik

Zhefan Wang

Pujan Dave

- semester-long project to introduce undergraduate students to research
- graduate student team leader: Amita Malik

Rough outline

- introducing Apéry-like numbers
- Lucas-type congruences
- applications

Positivity of rational functions

- Let us begin with an open problem:

CONJ
Kauers-
Zeilberger
2008

All Taylor coefficients of the following function are positive:

$$\frac{1}{1 - (x + y + z + w) + 2(yzw + xzw + xyw + xyz) + 4xyzw}.$$

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PROP
S-Zudilin
2015

The **diagonal coefficients** of the Kauers–Zeilberger function are

$$D(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{n}^2.$$

- $D(n)$ is an example of an **Apéry-like sequence**.

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Q
S-Zudilin
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Can we conclude the conjectured positivity from the positivity of $D(n)$ together with the (obvious) positivity of $\frac{1}{1-(x+y+z)+2xyz}$?

- The **Apéry numbers**

1, 5, 73, 1445, ...

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

satisfy

$$(n+1)^3 A(n+1) = (2n+1)(17n^2 + 17n + 5)A(n) - n^3 A(n-1).$$

Apéry numbers and the irrationality of $\zeta(3)$

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THM Apéry '78 $\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$ is irrational.

proof The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left(\sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then, $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$. But too fast for $\zeta(3)$ to be rational. \square

Zagier's search and Apéry-like numbers

- Recurrence for Apéry numbers is the case $(a, b, c) = (17, 5, 1)$ of

$$(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - cn^3 u_{n-1}.$$

Q
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Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0, u_0 = 1$ is integral?

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- Essentially, only 14 tuples (a, b, c) found. (Almkvist-Zudilin)
 - 4 hypergeometric and 4 Legendrian solutions (with generating functions

$${}_3F_2 \left(\begin{matrix} \frac{1}{2}, \alpha, 1-\alpha \\ 1, 1 \end{matrix} \middle| 4C_\alpha z \right), \quad \frac{1}{1-C_\alpha z} {}_2F_1 \left(\begin{matrix} \alpha, 1-\alpha \\ 1 \end{matrix} \middle| \frac{-C_\alpha z}{1-C_\alpha z} \right)^2,$$

with $\alpha = \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}$ and $C_\alpha = 2^4, 3^3, 2^6, 2^4 \cdot 3^3$

- 6 sporadic solutions
- Similar (and intertwined) story for:
 - $(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}$ (Beukers, Zagier)
 - $(n+1)^3 u_{n+1} = (2n+1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$ (Cooper)

The six sporadic Apéry-like numbers

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Apéry numbers
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Domb numbers
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	Almkvist-Zudilin numbers
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left(\binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$	
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	

- The Apéry numbers

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satisfy the **Lucas congruences**

(Gessel 1982)

$$A(n) \equiv A(n_0)A(n_1) \cdots A(n_r) \pmod{p},$$

where n_i are the p -adic digits of n .

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THM
Malik-S
2015

Every (known) sporadic sequence satisfies these Lucas congruences modulo every prime.

Approaches to proving Lucas congruences

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EG

For the Apéry numbers, $\Lambda(x, y, z) = \frac{(x+y)(z+1)(x+y+z)(y+z+1)}{xyz}$.

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For the Apéry numbers, $\Lambda(x, y, z) = \frac{(x+y)(z+1)(x+y+z)(y+z+1)}{xyz}$.

- Neither of these works for the sequence $A_n(n) = \text{ct } \Lambda(x, y, z)^n$, where

$$\Lambda(x, y, z) = \left(1 - \frac{1}{xy(1+z)^5}\right) \frac{(1+x)(1+y)(1+z)^4}{z^3}.$$

A crucial ingredient of our proof is a technique used by Calkin (1998) to prove that $\sum_k \binom{n}{k}^{2a}$ is divisible by all primes p with $n < p < n + 1 + \frac{n}{2a-1}$.

Primes not dividing Apéry numbers

CONJ

Rowland–
Yassawi

There are infinitely many primes p such that p does not divide any Apéry number $A(n)$.

Such as $p = 2, 3, 7, 13, 23, 29, 43, 47, \dots$

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- The values of Apéry numbers $A(0), A(1), \dots, A(6)$ modulo 7 are 1, 5, 3, 3, 3, 5, 1.

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- Hence, the Lucas congruences imply that 7 does not divide any Apéry number.

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Primes not dividing Apéry numbers, cont'd

CONJ
DDMSW
2015

The proportion of primes not dividing any Apéry number $A(n)$ is $e^{-1/2} \approx 60.65\%$.

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- Heuristically, combine Lucas congruences,
- palindromic behavior of Apéry numbers, that is

$$A(n) \equiv A(p-1-n) \pmod{p},$$

- and $e^{-1/2} = \lim_{p \rightarrow \infty} \left(1 - \frac{1}{p}\right)^{(p+1)/2}$.

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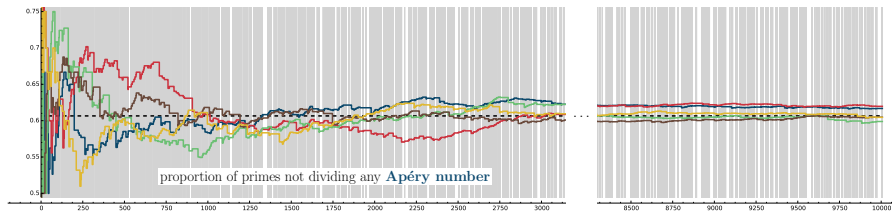
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Primes not dividing Apéry numbers, cont'd²

- The primes below 100 not dividing sporadic sequences, as well as the proportion of primes below 10,000 not dividing any term

(δ)	2, 5, 7, 11, 13, 19, 29, 41, 47, 61, 67, 71, 73, 89, 97	0.6192
(η)	2, 3, 17, 19, 23, 31, 47, 53, 61	0.2897
(α)	3, 5, 13, 17, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 83, 89	0.5989
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THM
Malik-S
2015

For any prime $p \neq 3$, we have that, modulo p ,

$$A_\eta \left(\left[\frac{p}{3} \right] \right) \equiv \begin{cases} (-1)^{\lfloor p/5 \rfloor} \left(\left[\frac{p/3}{p/15} \right] \right)^3, & \text{if } p \equiv 1, 2, 4, 8 \pmod{15}, \\ 0, & \text{otherwise.} \end{cases}$$

- We therefore expect the proportion of primes not dividing any $A_\eta(n)$ to be $\frac{1}{2}e^{-1/2} \approx 30.33\%$.

Modular (super)congruences

THM
Malik-S
2015

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THM
Stienstra-
Beukers
1985

For any prime $p \neq 2$, we have that, modulo p ,

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THM
Ahlgren
2001

$$A_b \left(\left[\frac{p}{2} \right] \right) \equiv c_p \pmod{p^2},$$

where c_p are the Fourier coefficients of the modular form

$$\eta(4z)^6 := q \prod_{n=1}^{\infty} (1 - q^{4n})^6 = \sum_{n=1}^{\infty} c_n q^n, \quad q = e^{2\pi iz}.$$

Similar congruences by Ahlgren and Ono (2000) for the Apéry numbers.

Apéry-like numbers and modular forms

- The Apéry numbers $A(n)$ satisfy

1, 5, 73, 1145, ...

$$\underbrace{\frac{\eta^7(2\tau)\eta^7(3\tau)}{\eta^5(\tau)\eta^5(6\tau)}}_{\text{modular form}} = \sum_{n \geq 0} A(n) \underbrace{\left(\frac{\eta^{12}(\tau)\eta^{12}(6\tau)}{\eta^{12}(2\tau)\eta^{12}(3\tau)} \right)^n}_{\text{modular function}} \cdot$$

$1 + 5q + 13q^2 + 23q^3 + O(q^4)$ $q - 12q^2 + 66q^3 + O(q^4)$ $q = e^{2\pi i\tau}$

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FACT Not at all evidently, such a **modular parametrization** exists for all known Apéry-like numbers!

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- As a consequence, with $z = \sqrt{1 - 34x + x^2}$,

$$\sum_{n \geq 0} A(n)x^n = \frac{17 - x - z}{4\sqrt{2}(1+x+z)^{3/2}} {}_3F_2 \left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| -\frac{1024x}{(1-x+z)^4} \right).$$

- Context:
 - $f(\tau)$ modular form of (integral) weight k
 - $x(\tau)$ modular function
 - $y(x)$ such that $y(x(\tau)) = f(\tau)$

Then $y(x)$ satisfies a linear differential equation of order $k + 1$.

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THM
Beukers,
Coster
'85, '88

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EG

For primes p , simple combinatorics proves the congruence

$$\binom{2p}{p} = \sum_k \binom{p}{k} \binom{p}{p-k} \equiv 1 + 1 \pmod{p^2}.$$

For $p \geq 5$, Wolstenholme's congruence shows that, in fact,

$$\binom{2p}{p} \equiv 2 \pmod{p^3}.$$

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- The congruences $a(mp^r) \equiv a(mp^{r-1})$ modulo p^r occur frequently:

- $a(n) = \text{tr } A^n$ with $A \in \mathbb{Z}^{d \times d}$

Arnold '03, Zarelua '04, ...

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 - $a(n) = \text{tr } A^n$ with $A \in \mathbb{Z}^{d \times d}$ Arnold '03, Zarelua '04, ...
 - **realizable** sequences $a(n)$, i.e., for some map $T : X \rightarrow X$,

$$a(n) = \#\{x \in X : T^n x = x\} \quad \text{“points of period } n\text{”}$$

Everest–van der Poorten–Puri–Ward '02, Arias de Reyna '05

Supercongruences for Apéry numbers

- Chowla, Cowles, Cowles (1980) conjectured that, for primes $p \geq 5$,

$$A(p) \equiv 5 \pmod{p^3}.$$

- Gessel (1982) proved that $A(mp) \equiv A(m) \pmod{p^3}$.

THM
Beukers,
Coster
'85, '88

The Apéry numbers satisfy the **supercongruence** $(p \geq 5)$

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}.$$

EG

Mathematica 7 miscomputes $A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$ for $n > 5500$.

$$A(5 \cdot 11^3) = 12488301 \dots \text{about 2000 digits} \dots \text{about 8000 digits} \dots \mathbf{79565}2125$$

Weirdly, with this wrong value, one still has

$$A(5 \cdot 11^3) \equiv A(5 \cdot 11^2) \pmod{11^6}.$$

Supercongruences for Apéry-like numbers



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- Conjecturally, supercongruences like

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}$$

hold for all Apéry-like numbers.

Osburn–Sahu '09

- Current state of affairs for the six sporadic sequences from earlier:

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Beukers, Coster '87-'88
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	Osburn–Sahu–S '14
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Osburn–Sahu '11
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	open modulo p^3 Amdeberhan–Tauraso '15
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \left(\binom{4n-5k-1}{3n} + \binom{4n-5k}{3n} \right)$	Osburn–Sahu–S '14
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	open

Some of many open problems

- Supercongruences for all Apéry-like numbers
 - proof of all the classical ones
 - uniform explanation, proofs not relying on binomial sums
- Apéry-like numbers as diagonals
 - find minimal rational functions
 - extend supercongruences
 - any structure?
- polynomial analogs of Apéry-like numbers
 - find q -analogs (e.g., for Almkvist–Zudilin sequence)
 - q -supercongruences
 - is there a geometric picture?
- Many further questions remain.
 - is the known list complete?
 - Apéry-like numbers as diagonals and multivariate supercongruences
 - higher-order analogs, Calabi–Yau DEs
 - modular supercongruences

Beukers '87, Ahlgren–Ono '00

$$A\left(\frac{p-1}{2}\right) \equiv a(p) \pmod{p^2}, \quad \sum_{n=1}^{\infty} a(n)q^n = \eta^4(2\tau)\eta^4(4\tau)$$

• ...

THANK YOU!

Slides for this talk will be available from my website:
<http://arminstraub.com/talks>



A. Malik, A. Straub

Divisibility properties of sporadic Apéry-like numbers
Research in Number Theory, Vol. 2, Nr. 1, 2016, p. 1-26



A. Straub

Multivariate Apéry numbers and supercongruences of rational functions
Algebra & Number Theory, Vol. 8, Nr. 8, 2014, p. 1985-2008



R. Osburn, B. Sahu, A. Straub

Supercongruences for sporadic sequences
to appear in Proceedings of the Edinburgh Mathematical Society, 2015



A. Straub, W. Zudilin

Positivity of rational functions and their diagonals
Journal of Approximation Theory (special issue dedicated to Richard Askey), Vol. 195, 2015, p. 57-69