

The congruences of Fermat, Euler, Gauss and stronger versions thereof

Algebra and Number Theory Seminar
LSU

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includes work with



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(UCD)

- Introduction: Multivariate generating functions
- Gauss congruences
- Apéry-like sequences
- Multivariate supercongruences
- Brown's cellular integrals
- (Time permitting) Further open problems
- (Time permitting) Polynomial analogs



Introduction: MGFs

Introduction: Diagonals

- Given a series

$$F(x_1, \dots, x_d) = \sum_{n_1, \dots, n_d \geq 0} a(n_1, \dots, n_d) x_1^{n_1} \cdots x_d^{n_d},$$

its **diagonal coefficients** are the coefficients $a(n, \dots, n)$.

EG The diagonal coefficients of

$$\frac{1}{1 - x - y} = \sum_{n=0}^{\infty} (x + y)^n$$

are the central binomial coefficients $\binom{2n}{n}$.

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are the central binomial coefficients $\binom{2n}{n}$.

For comparison, their univariate generating function is

$$\sum_{n=0}^{\infty} \binom{2n}{n} x^n = \frac{1}{\sqrt{1 - 4x}}.$$

EG

The **Lucas numbers** L_n have OGF $\frac{2-x}{1-x-x^2}$.

$$\begin{aligned}L_{n+1} &= L_n + L_{n-1} \\ L_0 &= 2, L_1 = 1\end{aligned}$$

- The sequences with rational OGF are precisely the **C-finite** ones.

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EG The **Delannoy numbers** have OGF $\frac{1}{\sqrt{1-6x+x^2}}$. $D_n = \sum_{k=0}^n \binom{n}{k} \binom{n+k}{k}$
They are the diagonal of $\frac{1}{1-x-y-xy}$.

- The sequences with algebraic OGF are precisely the diagonals of 2-variable rational functions.

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THM The diagonal of a rational function is *D*-finite.
Gessel, Zeilberger, Lipshitz 1981–88
More generally, the diagonal of a *D*-finite function is *D*-finite.
 $F \in K[[x_1, \dots, x_d]]$ is *D*-finite if its partial derivatives span a finite-dimensional vector space over $K(x_1, \dots, x_d)$.

EG

The **Franel numbers** $\sum_{k=0}^n \binom{n}{k}^3$ are the diagonal of

$$\frac{1}{1 - x - y - z + 4xyz}.$$

Their OGF is

$$\frac{1}{1 - 2x} {}_2F_1 \left(\begin{matrix} \frac{1}{3}, \frac{2}{3} \\ 1 \end{matrix} \middle| \frac{27x^2}{(1 - 2x)^3} \right).$$

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- Not at all unique! The Franel numbers are also the diagonal of

$$\frac{1}{(1 - x)(1 - y)(1 - z) - xyz}.$$

Introduction: Apéry numbers

THM
S 2014

The **Apéry numbers** are the diagonal coefficients of

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THM
S 2014

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$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4}.$$

- Univariate generating function:

$$\sum_{n \geq 0} A(n)x^n = \frac{17-x-z}{4\sqrt{2}(1+x+z)^{3/2}} {}_3F_2 \left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| -\frac{1024x}{(1-x+z)^4} \right),$$

where $z = \sqrt{1-34x+x^2}$.

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- Well-developed theory of multivariate asymptotics e.g., Pemantle–Wilson
- OGFs of such diagonals are algebraic modulo p^r . Furstenberg, Deligne '67, '84
Automatically leads to congruences such as

$$A(n) \equiv \begin{cases} 1 & (\text{mod } 8), & \text{if } n \text{ even,} \\ 5 & (\text{mod } 8), & \text{if } n \text{ odd.} \end{cases} \quad \begin{matrix} \text{Chowla–Cowles–Cowles '80} \\ \text{Rowland–Yassawi '13} \end{matrix}$$



Gauss congruences

Fermat, Euler and Gauss congruences

DEF $a(n)$ satisfies the **Fermat congruences** if, for all primes p ,

$$a(p) \equiv a(1) \pmod{p}.$$

EG Classical: $a(n) = a^n$ satisfies the Fermat congruences.

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$$a(mp^r) \equiv a(mp^{r-1}) \pmod{p^r}.$$

Equivalently,
$$\sum_{d|m} \mu\left(\frac{m}{d}\right)a(d) \equiv 0 \pmod{m}.$$

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- Later, we allow $a(n) \in \mathbb{Q}$. If the Gauss congruences hold for all but finitely many p , we say that the sequence (or its GF) has the **Gauss property**.
- Similarly, for multivariate sequences $a(\mathbf{n})$, we require

$$a(\mathbf{m}p^r) \equiv a(\mathbf{m}p^{r-1}) \pmod{p^r}.$$

That is, for instance, for $a(n_1, n_2)$,

$$a(m_1p^r, m_2p^r) \equiv a(m_1p^{r-1}, m_2p^{r-1}) \pmod{p^r}.$$

$$a(mp^r) \equiv a(mp^{r-1}) \pmod{p^r} \quad (\text{G})$$

- **realizable** sequences $a(n)$, i.e., for some map $T : X \rightarrow X$,

$$a(n) = \#\{x \in X : T^n x = x\} \quad \text{“points of period } n\text{”}$$

Everest–van der Poorten–Puri–Ward '02, Arias de Reyna '05

In fact, up to a positivity condition, (G) characterizes realizability.

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- $a(n) = \text{trace}(M^n)$ Jänichen '21, Schur '37; also: Arnold, Zarelua
where M is an integer matrix
- (G) is equivalent to $\exp\left(\sum_{n=1}^{\infty} \frac{a(n)}{n} T^n\right) \in \mathbb{Z}[[T]]$.

This is a natural condition in **formal group theory**.

Minton's theorem

THM
Minton,
2014

$f \in \mathbb{Q}(x)$ has the Gauss property if and only if f is a \mathbb{Q} -linear combination of functions $xu'(x)/u(x)$, with $u \in \mathbb{Z}[x]$.

THM
Minton,
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$$x \frac{u'(x)}{u(x)} = - \sum_{i=1}^s \frac{\alpha_i x}{1 - \alpha_i x} = s - \sum_{i=1}^s \frac{1}{1 - \alpha_i x}.$$

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- Assuming the α_i are distinct,

$$\sum_{i=1}^s \frac{1}{1 - \alpha_i x} = \sum_{n \geq 0} \left(\sum_{i=1}^s \alpha_i^n \right) x^n = \sum_{n \geq 0} \text{trace}(M^n) x^n,$$

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- Minton: No new C -finite sequences with the Gauss property!
- Can we generalize from C -finite towards D -finite?

The multivariate case

THM
BHS

Let $P, Q \in \mathbb{Z}[\mathbf{x}]$ with Q linear in each variable.

Then P/Q has the Gauss property if and only if $N(P) \subseteq N(Q)$.

Here, $N(Q)$ is the Newton polytope of Q . In this case, $N(Q) = \text{supp}(Q) \subseteq \{0, 1\}^n$.

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EG
Beukers,
Houben,
S 2017

The **Delannoy numbers** $D(n_1, n_2)$ are characterized by

$$\frac{1}{1 - x - y - xy} = \sum_{n_1, n_2=0}^{\infty} D(n_1, n_2) x^{n_1} y^{n_2}.$$

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By the theorem, the following have the Gauss property:

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By the theorem, the following have the Gauss property:

$$\frac{N}{1 - x - y - xy} \quad \text{with } N \in \{1, x, y, xy\}$$

In other words, for $\delta \in \{0, 1\}^2$,

$$D(\mathfrak{m}p^r - \delta) \equiv D(\mathfrak{m}p^{r-1} - \delta) \pmod{p^r}.$$

The multivariate case, cont'd

THM
Beukers,
Houben,
S 2017

Let $f_1, \dots, f_m \in \mathbb{Q}(\mathbf{x}) = \mathbb{Q}(x_1, \dots, x_n)$ be nonzero. Then

$$\frac{x_1 \cdots x_m}{f_1 \cdots f_m} \det \left(\frac{\partial f_j}{\partial x_i} \right)_{i,j=1,\dots,m} \quad (\text{D})$$

has the Gauss property.

Interesting detail: true for any of the different Laurent expansions of multivariate rational functions

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$$f_1 = Q \implies (\text{D}) = \frac{x}{Q} \frac{\partial Q}{\partial x} = \frac{-x + 4xyz}{Q}$$

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$$f_1 = Q, \quad f_2 = 1 - 4yz \implies (\text{D}) = \frac{xy}{f_1 f_2} \begin{vmatrix} \frac{\partial f_1}{\partial x} & \frac{\partial f_1}{\partial y} \\ \frac{\partial f_2}{\partial x} & \frac{\partial f_2}{\partial y} \end{vmatrix} = \frac{4xyz}{Q}$$

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In particular, $\frac{1}{1 - x - y - z + 4xyz}$ has the Gauss property.

There is nothing special about 4 in this argument.

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Let $P, Q \in \mathbb{Z}[z, \mathbf{x}]$ with Q linear in x_1, \dots, x_n .

Write $P = \sum_{\mathbf{k}} p_{\mathbf{k}}(z) \mathbf{x}^{\mathbf{k}}$ and $Q = \sum_{\mathbf{k}} q_{\mathbf{k}}(z) \mathbf{x}^{\mathbf{k}}$.

Then P/Q has the Gauss property if and only if

- $p_{\mathbf{k}} \neq 0$ implies $q_{\mathbf{k}} \neq 0$ and
- $p_{\mathbf{k}}/q_{\mathbf{k}}$ has the Gauss property whenever $q_{\mathbf{k}} \neq 0$.

The multivariate case, cont'd

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- Yes, for $f = P/Q$ with Q linear in all, or all but one, variables.
- Yes, for $f = P/Q$ with Q in two variables and total degree 2.

The multivariate case, cont'd

THM
Beukers,
Houben,
S 2017

Let $f_1, \dots, f_m \in \mathbb{Q}(\mathbf{x}) = \mathbb{Q}(x_1, \dots, x_n)$ be nonzero. Then

$$\frac{x_1 \cdots x_m}{f_1 \cdots f_m} \det \left(\frac{\partial f_j}{\partial x_i} \right)_{i,j=1,\dots,m} \quad (D)$$

has the Gauss property.

Q
BHS

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EG

Can $\frac{x(x+y+y^2+2xy^2)}{1+3x+3y+2x^2+2y^2+xy-2x^2y^2}$ be written in that form?



Apéry-like sequences

- The **Apéry numbers**

1, 5, 73, 1445, ...

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

satisfy

$$(n+1)^3 A(n+1) = (2n+1)(17n^2 + 17n + 5)A(n) - n^3 A(n-1).$$

Apéry numbers and the irrationality of $\zeta(3)$

- The **Apéry numbers**

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THM Apéry '78 $\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$ is irrational.

proof The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left(\sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then, $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$. But too fast for $\zeta(3)$ to be rational. \square

Zagier's search and Apéry-like numbers

- Recurrence for Apéry numbers is the case $(a, b, c) = (17, 5, 1)$ of

$$(n + 1)^3 u_{n+1} = (2n + 1)(an^2 + an + b)u_n - cn^3 u_{n-1}.$$

Q
Beukers,
Zagier

Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0, u_0 = 1$ is integral?

Zagier's search and Apéry-like numbers

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Q
Beukers,
Zagier

Are there other tuples (a, b, c) for which the solution defined by $u_{-1} = 0, u_0 = 1$ is integral?

- Essentially, only 14 tuples (a, b, c) found. (Almkvist–Zudilin)
 - 4 hypergeometric and 4 Legendrian solutions (with generating functions

$${}_3F_2 \left(\begin{matrix} \frac{1}{2}, \alpha, 1-\alpha \\ 1, 1 \end{matrix} \middle| 4C_\alpha z \right), \quad \frac{1}{1-C_\alpha z} {}_2F_1 \left(\begin{matrix} \alpha, 1-\alpha \\ 1 \end{matrix} \middle| \frac{-C_\alpha z}{1-C_\alpha z} \right)^2,$$

with $\alpha = \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}$ and $C_\alpha = 2^4, 3^3, 2^6, 2^4 \cdot 3^3$

- 6 sporadic solutions
- Similar (and intertwined) story for:
 - $(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}$ (Beukers, Zagier)
 - $(n+1)^3 u_{n+1} = (2n+1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$ (Cooper)

The six sporadic Apéry-like numbers

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Apéry numbers
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Domb numbers
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	Almkvist-Zudilin numbers
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \binom{4n-5k}{3n}$	
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	

Modularity of Apéry-like numbers

- The Apéry numbers

1, 5, 73, 1145, ...

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

satisfy

$$\underbrace{\frac{\eta^7(2\tau)\eta^7(3\tau)}{\eta^5(\tau)\eta^5(6\tau)}}_{\text{modular form}} = \sum_{n \geq 0} A(n) \underbrace{\left(\frac{\eta^{12}(\tau)\eta^{12}(6\tau)}{\eta^{12}(2\tau)\eta^{12}(3\tau)} \right)^n}_{\text{modular function}}.$$

$1 + 5q + 13q^2 + 23q^3 + O(q^4)$ $q - 12q^2 + 66q^3 + O(q^4)$

Modularity of Apéry-like numbers

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$1 + 5q + 13q^2 + 23q^3 + O(q^4)$ $q - 12q^2 + 66q^3 + O(q^4)$

FACT Not at all evidently, such a **modular parametrization** exists for all known Apéry-like numbers!

- Context:
 - $f(\tau)$ modular form of weight k
 - $x(\tau)$ modular function
 - $y(x)$ such that $y(x(\tau)) = f(\tau)$

Then $y(x)$ satisfies a linear differential equation of order $k + 1$.

Supercongruences for Apéry numbers

- Chowla, Cowles, Cowles (1980) conjectured that, for primes $p \geq 5$,

$$A(p) \equiv 5 \pmod{p^3}.$$

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THM
Beukers,
Coster
'85, '88

The Apéry numbers satisfy the **supercongruence** $(p \geq 5)$

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}.$$

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EG

For primes p , simple combinatorics proves the congruence

$$\binom{2p}{p} = \sum_k \binom{p}{k} \binom{p}{p-k} \equiv 1 + 1 \pmod{p^2}.$$

For $p \geq 5$, Wolstenholme's congruence shows that, in fact,

$$\binom{2p}{p} \equiv 2 \pmod{p^3}.$$

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THM
Beukers,
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The Apéry numbers satisfy the **supercongruence** $(p \geq 5)$

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EG

Mathematica 7 miscomputes $A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$ for $n > 5500$.

$$A(5 \cdot 11^3) = 12488301 \dots \text{about 2000 digits} \dots \text{about 8000 digits} \dots \mathbf{79565}2125$$

Weirdly, with this wrong value, one still has

$$A(5 \cdot 11^3) \equiv A(5 \cdot 11^2) \pmod{11^6}.$$

Supercongruences for Apéry-like numbers



Robert Osburn
(University of Dublin)



Brundaban Sahu
(NISER, India)

- Conjecturally, supercongruences like

$$A(mp^r) \equiv A(mp^{r-1}) \pmod{p^{3r}}$$

hold for all Apéry-like numbers.

Osburn–Sahu '09

- Current state of affairs for the six sporadic sequences from earlier:

(a, b, c)	$A(n)$	
$(17, 5, 1)$	$\sum_k \binom{n}{k}^2 \binom{n+k}{n}^2$	Beukers, Coster '87-'88
$(12, 4, 16)$	$\sum_k \binom{n}{k}^2 \binom{2k}{n}^2$	Osburn–Sahu–S '16
$(10, 4, 64)$	$\sum_k \binom{n}{k}^2 \binom{2k}{k} \binom{2(n-k)}{n-k}$	Osburn–Sahu '11
$(7, 3, 81)$	$\sum_k (-1)^k 3^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3}$	open modulo p^3 Amdeberhan–Tauraso '16
$(11, 5, 125)$	$\sum_k (-1)^k \binom{n}{k}^3 \binom{4n-5k}{3n}$	Osburn–Sahu–S '16
$(9, 3, -27)$	$\sum_{k,l} \binom{n}{k}^2 \binom{n}{l} \binom{k}{l} \binom{k+l}{n}$	Gorodetsky '18

Cooper's sporadic sequences

- Cooper's search for integral solutions to

$$(n+1)^3 u_{n+1} = (2n+1)(an^2 + an + b)u_n - n(cn^2 + d)u_{n-1}$$

revealed three additional sporadic solutions:

s_{10} and supercongruence known

$$s_7(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k} \binom{2k}{n}$$

$$s_{10}(n) = \sum_{k=0}^n \binom{n}{k}^4$$

$$s_{18}(n) = \sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k} \left[\binom{2n-3k-1}{n} + \binom{2n-3k}{n} \right]$$

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CONJ
Cooper
2012

$$s_7(mp) \equiv s_7(m) \pmod{p^3} \quad p \geq 3$$
$$s_{18}(mp) \equiv s_{18}(m) \pmod{p^2}$$

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CONJ

Cooper
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THM

Osburn-
Sahu-S
2016

$$s_7(mp^r) \equiv s_7(mp^{r-1}) \pmod{p^{3r}} \quad p \geq 5$$

$$s_{18}(mp^r) \equiv s_{18}(mp^{r-1}) \pmod{p^{2r}}$$

IV

Multivariate supercongruences

THM
S 2014

Define $A(\mathbf{n}) = A(n_1, n_2, n_3, n_4)$ by

$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} A(\mathbf{n}) \mathbf{x}^{\mathbf{n}}.$$

- The Apéry numbers are the diagonal coefficients.
- For $p \geq 5$, we have the **multivariate supercongruences**

$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

THM
S 2014

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- $\sum_{n \geq 0} a(n)x^n = F(x) \implies \sum_{n \geq 0} a(pn)x^{pn} = \frac{1}{p} \sum_{k=0}^{p-1} F(\zeta_p^k x) \quad \zeta_p = e^{2\pi i/p}$
- Hence, both $A(\mathbf{np}^r)$ and $A(\mathbf{np}^{r-1})$ have rational generating function. The proof, however, relies on an explicit binomial sum for the coefficients.

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S 2014

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- The Apéry numbers are the diagonal coefficients.
- For $p \geq 5$, we have the **multivariate supercongruences**

$$A(\mathbf{np}^r) \equiv A(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- By MacMahon's Master Theorem,

$$A(\mathbf{n}) = \sum_{k \in \mathbb{Z}} \binom{n_1}{k} \binom{n_3}{k} \binom{n_1 + n_2 - k}{n_1} \binom{n_3 + n_4 - k}{n_3}.$$

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- Because $A(\mathbf{n}-1) = A(-\mathbf{n}, -\mathbf{n}, -\mathbf{n}, -\mathbf{n})$, we also find

$$A(m\mathbf{p}^r - 1) \equiv A(m\mathbf{p}^{r-1} - 1) \pmod{p^{3r}}.$$

Beukers '85

More conjectural multivariate supercongruences

- Exhaustive search by Alin Bostan and Bruno Salvy:

$1/(1 - p(x, y, z, w))$ with $p(x, y, z, w)$ a sum of distinct monomials; Apéry numbers as diagonal

$$\frac{1}{1 - (x + y + xy)(z + w + zw)}$$
$$\frac{1}{1 - (1 + w)(z + xy + yz + zx + xyz)}$$
$$\frac{1}{1 - (y + z + xy + xz + zw + xyw + xyzw)}$$
$$\frac{1}{1 - (y + z + xz + wz + xyw + xzw + xyzw)}$$
$$\frac{1}{1 - (z + xy + yz + xw + xyw + yzw + xyzw)}$$
$$\frac{1}{1 - (z + (x + y)(z + w) + xyz + xyzw)}$$

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$$\frac{1}{1 - (z + xy + yz + xw + xyw + yzw + xyzw)}$$
$$\frac{1}{1 - (z + (x + y)(z + w) + xyz + xyzw)}$$

CONJ
S 2014

The coefficients $B(\mathbf{n})$ of each of these satisfy, for $p \geq 5$,

$$B(\mathbf{np}^r) \equiv B(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

An infinite family of rational functions

THM
S 2014

Let $\lambda \in \mathbb{Z}_{>0}^\ell$ with $d = \lambda_1 + \dots + \lambda_\ell$. Define $A_\lambda(\mathbf{n})$ by

$$\frac{1}{\prod_{1 \leq j \leq \ell} \left[1 - \sum_{1 \leq r \leq \lambda_j} x_{\lambda_1 + \dots + \lambda_{j-1} + r} \right] - x_1 x_2 \cdots x_d} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^d} A_\lambda(\mathbf{n}) x^{\mathbf{n}}.$$

- If $\ell \geq 2$, then, for all primes p ,

$$A_\lambda(\mathbf{n}p^r) \equiv A_\lambda(\mathbf{n}p^{r-1}) \pmod{p^{2r}}.$$

- If $\ell \geq 2$ and $\max(\lambda_1, \dots, \lambda_\ell) \leq 2$, then, for primes $p \geq 5$,

$$A_\lambda(\mathbf{n}p^r) \equiv A_\lambda(\mathbf{n}p^{r-1}) \pmod{p^{3r}}.$$

EG

$$\lambda = (2, 2)$$

$$\frac{1}{(1 - x_1 - x_2)(1 - x_3 - x_4) - x_1 x_2 x_3 x_4}$$

$$\lambda = (2, 1)$$

$$\frac{1}{(1 - x_1 - x_2)(1 - x_3) - x_1 x_2 x_3}$$

EG

$$\frac{1}{(1-x_1-x_2)(1-x_3)-x_1x_2x_3}$$

has as diagonal the $\zeta(2)$ Apéry numbers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}.$$

EG

$$\frac{1}{(1-x_1)(1-x_2)\cdots(1-x_d)-x_1x_2\cdots x_d}$$

has as diagonal the numbers

$d = 3$: Franel, $d = 4$: Yang–Zudilin

$$Y_d(n) = \sum_{k=0}^n \binom{n}{k}^d.$$

- In each case, we obtain supercongruences generalizing results of Coster (1988) and Chan–Cooper–Sica (2010).

A conjectural multivariate supercongruence

CONJ
S 2014

The coefficients $Z(\mathbf{n})$ of

$$\frac{1}{1 - (x_1 + x_2 + x_3 + x_4) + 27x_1x_2x_3x_4} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} Z(\mathbf{n})x^{\mathbf{n}}$$

satisfy, for $p \geq 5$, the multivariate supercongruences

$$Z(\mathbf{np}^r) \equiv Z(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- Here, the diagonal coefficients are the **Almkvist–Zudilin numbers**

$$Z(n) = \sum_{k=0}^n (-3)^{n-3k} \binom{n}{3k} \binom{n+k}{n} \frac{(3k)!}{k!^3},$$

for which the univariate congruences are still open for $r > 1$.

V

Brown's cellular integrals

$$I_n = (-1)^n \int_0^1 \int_0^1 \frac{x^n(1-x)^n y^n(1-y)^n}{(1-xy)^{n+1}} dx dy$$

$$J_n = \frac{1}{2} \int_0^1 \int_0^1 \int_0^1 \frac{x^n(1-x)^n y^n(1-y)^n w^n(1-w)^n}{(1-(1-xy)w)^{n+1}} dx dy dw$$

- Beukers showed that

$$I_n = a(n)\zeta(2) + \tilde{a}(n), \quad J_n = b(n)\zeta(3) + \tilde{b}(n)$$

Beukers' proof of the irrationality of $\zeta(3)$

$$I_n = (-1)^n \int_0^1 \int_0^1 \frac{x^n(1-x)^n y^n(1-y)^n}{(1-xy)^{n+1}} dx dy$$

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- Beukers showed that

$$I_n = a(n)\zeta(2) + \tilde{a}(n), \quad J_n = b(n)\zeta(3) + \tilde{b}(n)$$

where $\tilde{a}(n), \tilde{b}(n) \in \mathbb{Q}$ and

$$a(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}, \quad b(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2.$$

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where $\tilde{a}(n), \tilde{b}(n) \in \mathbb{Q}$ and

$$a(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}, \quad b(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2.$$

- Brown realizes these as period integrals, for $N = 5, 6$, on the moduli space $\mathcal{M}_{0,N}$ of curves of genus 0 with N marked points.

THM
Brown
2009

Period integrals on $\mathcal{M}_{0,N}$ are \mathbb{Q} -linear combinations of multiple zeta values (MZVs).
(conjectured by Goncharov–Manin, 2004)

- Examples of such integrals can be written as: $(a_i, b_j, c_{ij} \in \mathbb{Z})$

$$\int_{0 < t_1 < \dots < t_{N-3} < 1} \prod t_i^{a_i} (1 - t_j)^{b_j} (t_i - t_j)^{c_{ij}} dt_1 \dots dt_{N-3}$$

- Typically involve MZVs of all weights $\leq N - 3$.

Brown's cellular integrals

THM
Brown
2009

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(conjectured by Goncharov–Manin, 2004)

- Examples of such integrals can be written as: $(a_i, b_j, c_{ij} \in \mathbb{Z})$

$$\int_{0 < t_1 < \dots < t_{N-3} < 1} \prod t_i^{a_i} (1 - t_j)^{b_j} (t_i - t_j)^{c_{ij}} dt_1 \dots dt_{N-3}$$

- Typically involve MZVs of all weights $\leq N - 3$.
- Brown constructs families of integrals $I_\sigma(n)$, for which MZVs of submaximal weight vanish.

Here, σ are certain (“convergent”) permutations in S_N .

N	5	6	7	8	9	10	11
# of σ	1	1	5	17	105	771	7028

One of Brown's cellular integrals

- One of the 17 permutations for $N = 8$ is $\sigma = (8, 3, 6, 1, 4, 7, 2, 5)$.
- Cellular integral $I_\sigma(n) = \int_\Delta f_\sigma^n \omega_\sigma$ where $\Delta : 0 < t_2 < \dots < t_6 < 1$

$$f_\sigma = \frac{(-t_2)(t_2 - t_3)(t_3 - t_4)(t_4 - t_5)(t_5 - t_6)(t_6 - 1)}{(t_3 - t_6)(t_6)(-t_4)(t_4 - 1)(1 - t_2)(t_2 - t_5)}, \quad \omega_\sigma = \frac{dt_2 dt_3 dt_4 dt_5 dt_6}{(t_3 - t_6)(t_6)(-t_4)(t_4 - 1)(1 - t_2)(t_2 - t_5)}.$$

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EG
Panzer:
HyperInt

$$I_\sigma(0) = 16\zeta(5) - 8\zeta(3)\zeta(2)$$

$$I_\sigma(1) = 33I_\sigma(0) - 432\zeta(3) + 316\zeta(2) - 26$$

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$$1, 33, 8929, 4124193, 2435948001, 1657775448033, \dots$$

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LEM
McCarthy,
Osburn,
S 2018

$$A_\sigma(n) = \sum_{\substack{k_1, k_2, k_3, k_4=0 \\ k_1+k_2=k_3+k_4}}^n \prod_{i=1}^4 \binom{n}{k_i} \binom{n+k_i}{k_i}$$

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CONJ

McCarthy,
Osburn,
S 2018

For each $N \geq 5$ and convergent σ_N , the leading coefficients $A_{\sigma_N}(n)$ satisfy $(p \geq 5)$

$$A_{\sigma_N}(mp^r) \equiv A_{\sigma_N}(mp^{r-1}) \pmod{p^{3r}}.$$

For $N = 5, 6$ these are the supercongruences proved by Beukers and Coster.

One of Brown's cellular integrals, cont'd

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THM

McCarthy,
Osburn,
S 2018

For any odd prime p ,

$$A_\sigma\left(\frac{p-1}{2}\right) \equiv \gamma(p) \pmod{p^2}.$$

where $\eta^{12}(2z) = \sum_{n \geq 1} \gamma(n) q^n$ is the unique newform in $S_6(\Gamma_0(4))$.

The Ahlgren–Ono supercongruences

THM
Ahlgren–
Ono
'00

For any odd prime p , the $\zeta(3)$ Apéry numbers satisfy

$$A\left(\frac{p-1}{2}\right) \equiv \alpha(p) \pmod{p^2},$$

with $\eta(2\tau)^4\eta(4\tau)^4 = \sum_{n \geq 1} \alpha(n)q^n$ the unique newform in $S_4(\Gamma_0(8))$.

THM
Ahlgren
'01

For any prime $p \geq 5$, the $\zeta(2)$ Apéry numbers satisfy

$$B\left(\frac{p-1}{2}\right) \equiv \beta(p) \pmod{p^2},$$

with $\eta(4\tau)^6 = \sum_{n \geq 1} \beta(n)q^n$ the unique newform in $S_3(\Gamma_0(16), (\frac{-4}{\cdot}))$.

- conjectured (and proved modulo p) by Beukers '87

An infinite family of supercongruences

- $A_{\sigma_N}(n) = B(n)^{(N-3)/2}$ is one of Brown's sequences for a certain σ_N . Here, $B(n)$ are the $\zeta(2)$ Apéry numbers.
- For odd $k \geq 3$, consider the weight k binary theta series

$$f_k(\tau) = \frac{1}{4} \sum_{(n,m) \in \mathbb{Z}^2} (-1)^{m(k-1)/2} (n - im)^{k-1} q^{n^2+m^2} = \sum_{n \geq 1} \gamma_k(n) q^n.$$

THM
McCarthy,
Osburn,
S 2018

Let $N \geq 5$ be odd. For any prime $p \geq 5$,

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McCarthy,
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- Q** Supercongruences for all of Brown's sequences?
Maybe arising from L -series attached to Galois representations?

THM
Kilbourn
2006

$${}_4F_3 \left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1, 1 \end{matrix} \middle| 1 \right)_{p-1} \equiv \alpha(p) \pmod{p^3}, \quad (p \geq 3)$$

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with $\eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} \alpha(n) q^n$ the unique newform in $S_4(\Gamma_0(8))$.

- This result proved the first of 14 related supercongruences conjectured by Rodriguez-Villegas (2001) between
 - truncated hypergeometric series ${}_4F_3$ and
 - Fourier coefficients of modular forms of weight 4.
- 11 of these remained open until very recently proved by Long, Tu, Yui, Zudilin (2017).

McCarthy (2010), Fuselier–McCarthy (2016) prove one each; McCarthy (2010) proves “half” of each of the 14.

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Q Can the supercongruences for Brown’s sequences be similarly embedded in the hypergeometric setting?

A supercongruence for ${}_6F_5$

THM

Osburn,
S, Zudilin
2018

$${}_6F_5 \left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1, 1, 1, 1 \end{matrix} \middle| 1 \right)_{p-1} \equiv \lambda(p) \pmod{p^3},$$

for primes $p > 2$. Here, $\lambda(n)$ are the Fourier coefficients of

$$\eta(\tau)^8 \eta(4\tau)^4 + 8\eta(4\tau)^{12} = \sum_{n \geq 1} \lambda(n) q^n \in S_6(\Gamma_0(8)).$$

- Conjectured by Mortenson based on numerical evidence, which further suggests it holds modulo p^5 .

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Osburn,
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- Osburn and Schneider determined the resulting Gaussian hypergeometric functions modulo p^3 in terms of sums involving harmonic sums.

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Q Why do these supercongruences hold?

Promising explanation suggested by Roberts, Rodriguez-Villegas (2017) in terms of gaps between Hodge numbers of an associated motive.

VI

Further open problems

CONJ $\pi, \zeta(3), \zeta(5), \dots$ are algebraically independent over \mathbb{Q} .

- Open: $\zeta(5)$ is irrational

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- Open: $\zeta(5)$ is irrational
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- Open: Catalan's constant $G = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)^2}$ is irrational

Binomial supercongruences modulo more than p^3 ?

- Are there primes p (**Wolstenholme primes**) such that

$$\binom{2p}{p} \equiv 2 \pmod{p^4}?$$

Equivalently, $H_{p-1} \equiv 0 \pmod{p^3}$. Or, $B_{p-3} \equiv 0 \pmod{p}$.

- The only two known are 16843 and 2124679.

McIntosh, 1995: up to 10^9



C. Helou and G. Terjanian

On Wolstenholme's theorem and its converse

Journal of Number Theory 128, 2008

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- Infinitely many Wolstenholme primes are conjectured to exist.
Namely, about $\log(\log(x))$ many up to x .
However, no primes are conjectured to exist for modulo p^5 .



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CONJ

$$\binom{2n}{n} \equiv 2 \pmod{n^3} \iff n \geq 5 \text{ and } n \text{ is prime}$$

This would imply (Jones, '94) that there exists a polynomial in 7 variables, whose positive range is exactly the prime numbers. (Known: 10 variables (Matijasevic, '77))



C. Helou and G. Terjanian

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A simple multivariate supercongruence

CONJ
S 2014

The coefficients $F(\mathbf{n})$ of

$$\frac{1}{1 - (x_1 + x_2 + x_3) + 4x_1x_2x_3} = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^3} F(\mathbf{n}) \mathbf{x}^{\mathbf{n}}$$

satisfy, for $p \geq 5$, the multivariate supercongruences

$$F(\mathbf{np}^r) \equiv F(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- The diagonal coefficients are the **Franel numbers** $F(n) = \sum_{k=0}^n \binom{n}{k}^3$.

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- The diagonal coefficients are the **Franel numbers** $F(n) = \sum_{k=0}^n \binom{n}{k}^3$.
- The Franel numbers also are the diagonal coefficients of

$$\frac{1}{(1-x_1)(1-x_2)(1-x_3) - x_1x_2x_3},$$

for which the above multivariate supercongruences are known (S '14).

A simple multivariate supercongruence

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$$F(\mathbf{np}^r) \equiv F(\mathbf{np}^{r-1}) \pmod{p^{3r}}.$$

- This is a “warm-up” case for

$$\frac{1}{1 - (x_1 + x_2 + x_3 + x_4) + 27x_1x_2x_3x_4},$$

which has the Almkvist–Zudilin numbers as diagonal coefficients, for which even the univariate supercongruences remain open for $r > 1$.

- No supercongruences for the extension to more than 4 variables.

Diagonals of rational functions

THM The diagonal of a rational function is D -finite.

CONJ If an integer sequence of at most exponential growth is D -finite, then it is the diagonal of a rational function.
Christol '90

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- Bostan, Lairez and Salvy (2017) show that diagonals of rational functions are exactly **binomial sums**.
- Furstenberg (1967) shows that diagonals of rational functions have algebraic OGF modulo (almost all) primes p .



A. Bostan, P. Lairez, B. Salvy

Multiple binomial sums

Journal of Symbolic Computation 80, 2017

Rational functions in more than two variables

- Apéry numbers for $\zeta(2)$ are diagonal of

$$\frac{1}{(1-x_1-x_2)(1-x_3)-x_1x_2x_3}.$$

- Apéry numbers for $\zeta(3)$ are diagonal of

$$\frac{1}{(1-x_1-x_2)(1-x_3-x_4)-x_1x_2x_3x_4}.$$

(And we have seen several other MGFs in 4 variables.)

Q Is it possible to find a rational MGF for the $\zeta(3)$ Apéry numbers with 3 variables?

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- diagonals of n -variable rational functions:
 - $n = 1$: C -finite sequences
 - $n = 2$: sequences with algebraic OGF
 - $n = 3$: ?

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 - $n = 2$: sequences with algebraic OGF
 - $n = 3$: ?

Q Find a sequence which is a diagonal for $n > 3$ but not for $n = 3$!?

VII

Polynomial analogs

- The natural number n has the q -analog:

$$[n]_q = \frac{q^n - 1}{q - 1} = 1 + q + \dots + q^{n-1}$$

In the limit $q \rightarrow 1$ a q -analog reduces to the classical object.

- The natural number n has the q -analog:

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In the limit $q \rightarrow 1$ a q -analog reduces to the classical object.

- The q -factorial:

$$[n]_q! = [n]_q [n-1]_q \cdots [1]_q$$

- The q -binomial coefficient:

$$\binom{n}{k}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!} = \binom{n}{n-k}_q$$

EG

$$\binom{6}{2} = \frac{6 \cdot 5}{2} = 3 \cdot 5$$

$$\binom{6}{2}_q = \frac{(1 + q + q^2 + q^3 + q^4)(1 + q + q^2 + q^3 + q^4)}{1 + q}$$

EG

$$\binom{6}{2} = \frac{6 \cdot 5}{2} = 3 \cdot 5$$

$$\begin{aligned}\binom{6}{2}_q &= \frac{(1 + q + q^2 + q^3 + q^4)(1 + q + q^2 + q^3 + q^4)}{1 + q} \\ &= (1 - q + q^2) \underbrace{(1 + q + q^2)}_{=[3]_q} \underbrace{(1 + q + q^2 + q^3 + q^4)}_{=[5]_q}\end{aligned}$$

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$$\begin{aligned} \binom{6}{2}_q &= \frac{(1 + q + q^2 + q^3 + q^4)(1 + q + q^2 + q^3 + q^4)}{1 + q} \\ &= \underbrace{(1 - q + q^2)}_{=\Phi_6(q)} \underbrace{(1 + q + q^2)}_{=[3]_q} \underbrace{(1 + q + q^2 + q^3 + q^4)}_{=[5]_q} \end{aligned}$$

- The cyclotomic polynomial $\Phi_6(q)$ becomes 1 for $q = 1$ and hence invisible in the classical world

The coefficients of q -binomial coefficients

- Here's some q -binomials in expanded form:

EG

$$\binom{6}{2}_q = q^8 + q^7 + 2q^6 + 2q^5 + 3q^4 + 2q^3 + 2q^2 + q + 1$$

$$\begin{aligned} \binom{9}{3}_q &= q^{18} + q^{17} + 2q^{16} + 3q^{15} + 4q^{14} + 5q^{13} + 7q^{12} \\ &\quad + 7q^{11} + 8q^{10} + 8q^9 + 8q^8 + 7q^7 + 7q^6 + 5q^5 \\ &\quad + 4q^4 + 3q^3 + 2q^2 + q + 1 \end{aligned}$$

- The degree of the q -binomial is $k(n - k)$.
- All coefficients are positive!
- In fact, the coefficients are unimodal.

Sylvester, 1878

A few faces of the q -binomial coefficient

The q -binomial coefficient $\binom{n}{k}_q$

- satisfies a q -version of Pascal's rule, $\binom{n}{k}_q = \binom{n-1}{k-1}_q + q^k \binom{n-1}{k}_q$,

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- counts the number of k -dimensional subspaces of \mathbb{F}_q^n .

A q -analog of Babbage's congruence

- Combinatorially, we again obtain:

“ q -Chu-Vandermonde”

$$\binom{2n}{n}_q = \sum_{k=0}^n \binom{n}{k}_q \binom{n}{n-k}_q q^{(n-k)^2}$$

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1995

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- Note that $\Phi_n(1) = 1$ if n is not a prime power.
- Similar results by Andrews (1999); e.g.:

$$\binom{ap}{bp}_q \equiv q^{(a-b)b\binom{p}{2}} \binom{a}{b}_{q^p} \pmod{[p]_q^2}$$

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- The following answers the question of Andrews to find a q -analog of Wolstenholme's congruence.

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$$\binom{an}{bn}_q \equiv \binom{a}{b}_{q^{n^2}} - (a-b)b \binom{a}{b} \frac{n^2-1}{24} (q^n-1)^2 \pmod{\Phi_n(q)^3}$$

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EG
 $n = 13,$
 $a = 2,$
 $b = 1$

$$\binom{26}{13}_q = 1 + q^{169} - 14(q^{13}-1)^2 + (1+q+\dots+q^{12})^3 f(q)$$

where $f(q) = 14 - 41q + 41q^2 - \dots + q^{132} \in \mathbb{Z}[q]$.

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- Note that $\frac{n^2-1}{24}$ is an integer if $(n, 6) = 1$.
- Ljunggren's classical congruence holds modulo p^{3+r} with r the p -adic valuation of

$$(a-b)ab \binom{a}{b}.$$

Jacobsthal '52

A q -version of the Apéry numbers

- A symmetric q -analog of the Apéry numbers:

$$A_q(n) = \sum_{k=0}^n q^{(n-k)^2} \binom{n}{k}_q^2 \binom{n+k}{k}_q^2$$

This is an explicit form of a q -analog of Krattenthaler, Rivoal and Zudilin (2006).

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- The first few values are:

$$A(0) = 1$$

$$A_q(0) = 1$$

$$A(1) = 5$$

$$A_q(1) = 1 + 3q + q^2$$

$$A(2) = 73$$

$$A_q(2) = 1 + 3q + 9q^2 + 14q^3 + 19q^4 + 14q^5 + 9q^6 + 3q^7 + q^8$$

$$A(3) = 1445$$

$$A_q(3) = 1 + 3q + 9q^2 + 22q^3 + 43q^4 + 76q^5 + 117q^6 + \dots + 3q^{17} + q^{18}$$

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The q -analog of the Apéry numbers, defined as

$$A_q(n) = \sum_{k=0}^n q^{\binom{n-k}{2}} \binom{n}{k}_q^2 \binom{n+k}{k}_q^2,$$

satisfies, for any $m \geq 0$,

$$A_q(1) = 1 + 3q + q^2, \quad A(1) = 5$$

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- q -analog and congruences for Almkvist–Zudilin numbers?
(classical supercongruences still open)

THANK YOU!

Slides for this talk will be available from my website:
<http://arminstraub.com/talks>



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