

# Interpolated sequences and critical $L$ -values of modular forms

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$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \quad f(\tau) = \eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} \alpha_n q^n$$

1, 5, 73, 1445, 33001, 819005, 21460825, ...

$$A\left(\frac{p-1}{2}\right) \equiv \alpha_p \pmod{p^2}$$

$$A\left(-\frac{1}{2}\right) = \frac{16}{\pi^2} L(f, 2)$$



Joint work with:

Robert Osburn  
(University College Dublin)

# A victory for the French peasant...\*

- The **Apéry numbers**

1, 5, 73, 1445, ...

satisfy

$$A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$$

$$(n+1)^3 u_{n+1} = (2n+1)(17n^2 + 17n + 5)u_n - n^3 u_{n-1}.$$

**THM**  
Apéry '78

$\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$  is irrational.



\* Someone's "sour comment" after Henri Cohen's report on Apéry's proof at the '78 ICM in Helsinki.

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$\zeta(3) = \sum_{n=1}^{\infty} \frac{1}{n^3}$  is irrational.

**proof** The same recurrence is satisfied by the “near”-integers

$$B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left( \sum_{j=1}^n \frac{1}{j^3} + \sum_{m=1}^k \frac{(-1)^{m-1}}{2m^3 \binom{n}{m} \binom{n+m}{m}} \right).$$

Then,  $\frac{B(n)}{A(n)} \rightarrow \zeta(3)$ . But too fast for  $\zeta(3)$  to be rational.  $\square$

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Nowadays, there are excellent implementations of this **creative telescoping**, including:

- **HolonomicFunctions** by Koutschan (Mathematica)
- **Sigma** by Schneider (Mathematica)
- **ore\_algebra** by Kauers, Jaroschek, Johansson, Mezzarobba (Sage)

(These are just the ones I use on a regular basis...)

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## Zagier's search and Apéry-like numbers

- The Apéry numbers  $B(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}$  for  $\zeta(2)$  satisfy

$$(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1}, \quad (a, b, c) = (11, 3, -1).$$

**Q**  
Beukers

Are there other tuples  $(a, b, c)$  for which the solution defined by  $u_{-1} = 0$ ,  $u_0 = 1$  is integral?

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- Apart from degenerate cases, Zagier found 6 sporadic integer solutions:

*	$C_*(n)$	*	$C_*(n)$
<b>A</b>	$\sum_{k=0}^n \binom{n}{k}^3$	<b>D</b>	$\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{n}$
<b>B</b>	$\sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k 3^{n-3k} \binom{n}{3k} \frac{(3k)!}{k!^3}$	<b>E</b>	$\sum_{k=0}^n \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k}$
<b>C</b>	$\sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k}$	<b>F</b>	$\sum_{k=0}^n (-1)^k 8^{n-k} \binom{n}{k} C_{\mathbf{A}}(k)$

# $L$ -value interpolations

**THM**  
Ahlgren–  
Ono  
2000

For primes  $p > 2$ , the Apéry numbers for  $\zeta(3)$  satisfy

$$A\left(\frac{p-1}{2}\right) \equiv a_f(p) \pmod{p^2},$$

with  $f(\tau) = \eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n \geq 1} a_f(n) q^n \in S_4(\Gamma_0(8))$ .

conjectured (and proved modulo  $p$ ) by Beukers '87





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**THM**  
Zagier  
2016

$$A\left(-\frac{1}{2}\right) = \frac{16}{\pi^2} L(f, 2)$$

- Here,  $A(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}^2$  is absolutely convergent for  $x \in \mathbb{C}$ .
- Predicted by Golyshev based on motivic considerations, the connection of the Apéry numbers with the double covering of a family of K3 surfaces, and the Tate conjecture.



**D. Zagier**

*Arithmetic and topology of differential equations*  
Proceedings of the 2016 ECM, 2017



# $L$ -value interpolations, cont'd

- Zagier found 6 sporadic integer solutions  $C_*(n)$  to:

\* one of **A-F**

$$(n+1)^2 u_{n+1} = (an^2 + an + b)u_n - cn^2 u_{n-1} \quad u_{-1} = 0, u_0 = 1$$

**THM**  
1985  
-  
2019

There exists a weight 3 newform  $f_*(\tau) = \sum_{n \geq 1} \gamma_{n,*} q^n$ , so that

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- **C**, **D** proved by Beukers–Stienstra ('85); **A** follows from their work
- **E** proved using a result Verrill ('10); **B** through  $p$ -adic analysis
- **F** conjectured by Osburn–S and proved by Kazalicki ('19) using Atkin–Swinnerton-Dyer congruences for non-congruence cusp forms

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**THM**  
Osburn  
S '18

For \* one of  $A$ - $F$ , except  $E$ , there is  $\alpha_* \in \mathbb{Z}$  such that

$$C_*\left(-\frac{1}{2}\right) = \frac{\alpha_*}{\pi^2} L(f_*, 2).$$

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For sequence  $E$ ,

$$\operatorname{res}_{x=-1/2} C_E(x) = \frac{6}{\pi^2} L(f_E, 1).$$

# L-value interpolations, cont'd

$$C_*(-\frac{1}{2}) = \frac{\alpha_*}{\pi^2} L(f_*, 2)$$

*	$C_*(n)$	$f_*(\tau)$	$N_*$	CM	$\alpha_*$
<b>A</b>	$\sum_{k=0}^n \binom{n}{k}^3$	$\frac{\eta(4\tau)^5 \eta(8\tau)^5}{\eta(2\tau)^2 \eta(16\tau)^2}$	32	$\mathbb{Q}(\sqrt{-2})$	8
<b>B</b>	$\sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k 3^{n-3k} \binom{n}{3k} \frac{(3k)!}{k!^3}$	$\eta(4\tau)^6$	16	$\mathbb{Q}(\sqrt{-1})$	8
<b>C</b>	$\sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k}$	$\eta(2\tau)^3 \eta(6\tau)^3$	12	$\mathbb{Q}(\sqrt{-3})$	12
<b>D</b>	$\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{n}$	$\eta(4\tau)^6$	16	$\mathbb{Q}(\sqrt{-1})$	16
<b>E</b>	$\sum_{k=0}^n \binom{n}{k} \binom{2k}{k} \binom{2(n-k)}{n-k}$	$\eta(\tau)^2 \eta(2\tau) \eta(4\tau) \eta(8\tau)^2$	8	$\mathbb{Q}(\sqrt{-2})$	6
<b>F</b>	$\sum_{k=0}^n (-1)^k 8^{n-k} \binom{n}{k} C_A(k)$	$q - 2q^2 + 3q^3 + \dots$	24	$\mathbb{Q}(\sqrt{-6})$	6

## Challenge: $A \equiv B$

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**EG**  
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For primes  $p > 2$  and  $n = \frac{p-1}{2}$ ,

$$\sum_{k=0}^{\lfloor n/3 \rfloor} (-1)^k 3^{n-3k} \binom{n}{3k} \frac{(3k)!}{k!^3} \equiv \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k} \pmod{p}.$$

$C_B(n)$   $C_D(n)$



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$$\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \equiv (-1)^n \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k} \binom{2k}{n} \pmod{p^2}.$$

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- Our proof of this congruence relies on finding (!) the **identity**

$$\text{RHS} = \sum_{k=0}^n (-1)^k \binom{3n+1}{n-k} \binom{n+k}{k}^3.$$

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S-Zudilin  
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For primes  $p > 2$  and  $n = \frac{p-1}{2}$ ,

$$\begin{aligned} & \sum_{k=0}^n (-1)^k \binom{n+k}{k}^3 \binom{n}{k}^3 (1 - 3k(2H_k - H_{n+k} - H_{n-k})) \\ & \equiv \sum_{k=0}^n \binom{n+k}{k}^2 \binom{n}{k}^2 \pmod{p^2}. \end{aligned}$$

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$$\begin{aligned} \text{RHS} &= \frac{(-1)^n}{2} \sum_{k=0}^n \binom{n+k}{n} \binom{2n-k}{n} \binom{n}{k}^4 \\ &\quad \times (2 + (n-2k)(5H_k - 5H_{n-k} - H_{n+k} + H_{2n-k})). \end{aligned}$$

**Goal:** a recurrence for  $\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 =: \sum_{k=0}^n A(n, k)$

Let  $S_n$  be such that  $S_n f(n, k) = f(n+1, k)$ .



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- Suppose we have  $P(n, S_n) \in \mathbb{Q}[n, S_n]$  and  $R(n, k) \in \mathbb{Q}(n, k)$  so that

$$P(n, S_n)A(n, k) = (S_k - 1)R(n, k)A(n, k).$$



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- Then:  $P(n, S_n) \sum_{k \in \mathbb{Z}} A(n, k) = 0$



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- Then:  $P(n, S_n) \sum_{k \in \mathbb{Z}} A(n, k) = 0$

EG

$$P(n, S_n) = (n+2)^3 S_n^2 - (2n+3)(17n^2 + 51n + 39)S_n + (n+1)^3$$
$$R(n, k) = \frac{4k^4(2n+3)(4n^2 - 2k^2 + 12n + 3k + 8)}{(n-k+1)^2(n-k+2)^2}$$

Automatically obtained using Koutschan's excellent **HolonomicFunctions** package for Mathematica.



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## Challenge: Interpolating sequences

Q What is the proper way of defining  $C(-\frac{1}{2})$ ?

- For Apéry numbers  $A(n)$ , Zagier used  $A(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}^2$ .

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**EG**

$$P(x, S_x)A(x) = \frac{8}{\pi^2}(2x + 3) \sin^2(\pi x)$$

for all complex  $x$ , where  $P(x, S_x)$  is Apéry's recurrence operator.

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$$\begin{aligned} P(x, S_x) \sum_{k=0}^{K-1} A(x, k) &= R(x, K)A(x, K) - R(x, 0)A(x, 0) \\ &= R(x, K)A(x, K) \end{aligned}$$

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$$\begin{aligned} P(x, S_x) \sum_{k=0}^{K-1} A(x, k) &= R(x, K)A(x, K) - R(x, 0)A(x, 0) \\ &= R(x, K)A(x, K) \\ &= [-8(2x+3)K^2 + O(K)] \left[ \frac{\sin^2(\pi x)}{\pi^2 K^2} + O\left(\frac{1}{K^3}\right) \right] \end{aligned}$$

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for all complex  $x$ , where  $P(x, S_x)$  is Apéry's recurrence operator.

- For the  $\zeta(2)$  Apéry numbers  $B(n)$ , we use  $B(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}$ .

However:

- The series diverges if  $\operatorname{Re} x < -1$ .
- $Q(x, S_x)B(x) = 0$  where  $Q(x, S_x)$  is Apéry's recurrence operator.

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EG  
(C)

$$C_C(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k}$$

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$$C_C(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} = {}_3F_2 \left( \begin{matrix} -n, -n, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| 4 \right)$$

## Challenge: Interpolating sequences

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- For Apéry numbers  $A(n)$ , Zagier used  $A(x) = \sum_{k=0}^{\infty} \binom{x}{k}^2 \binom{x+k}{k}^2$ .

diverges for  $n \notin \mathbb{Z}_{\geq 0}$

EG  
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$$C_C(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} = {}_3F_2 \left( \begin{matrix} -n, -n, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| 4 \right)$$

We use the interpolation  $C_C(x) = \operatorname{Re} {}_3F_2 \left( \begin{matrix} -x, -x, \frac{1}{2} \\ 1, 1 \end{matrix} \middle| 4 \right)$ .



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This has a simple pole at  $n = -\frac{1}{2}$ .

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$$C(n) = \sum_{\substack{k_1, k_2, k_3, k_4=0 \\ k_1+k_2=k_3+k_4}}^n \prod_{i=1}^4 \binom{n}{k_i} \binom{n+k_i}{k_i}.$$

How to compute  $C(-\frac{1}{2})$ ?

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- DE: order 7, degree 17  
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**THM**

McCarthy,  
Osburn,  
S 2018

For any odd prime  $p$ ,

$$C\left(\frac{p-1}{2}\right) \equiv \gamma(p) \pmod{p^2}, \quad \eta^{12}(2\tau) = \sum_{n \geq 1} \gamma(n) q^n \in S_6(\Gamma_0(4))$$

**Q** Is there a Zagier-type interpolation?

# Challenge: computing values of $\eta(\tau)$ at CM points

Q How to efficiently compute  $\eta(\tau)$  for quadratic irrationalities  $\tau$ ?

Lots of papers would benefit from a CAS implementation!

- **Dedekind eta function:** the prototypical modular form of weight  $\frac{1}{2}$

$$\eta(\tau) = e^{\pi i \tau / 12} \prod_{n \geq 1} (1 - e^{2\pi i n \tau}).$$



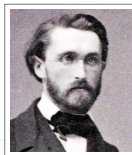
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**EG**

$$\eta(i) = \frac{1}{2\pi^{3/4}} \Gamma\left(\frac{1}{4}\right)$$

$$\theta_3(i) = \frac{1}{\sqrt{2}\pi^{3/4}} \Gamma\left(\frac{1}{4}\right)$$

$$\theta_3(1 + i\sqrt{2})^4 = \frac{\Gamma^2\left(\frac{1}{8}\right)\Gamma^2\left(\frac{3}{8}\right)}{8\sqrt{2}\pi^3}$$

$$\theta_3\left(-\frac{1-i\sqrt{3}}{2}\right)^4 = \frac{(3 - i\sqrt{3}) \Gamma^6\left(\frac{1}{3}\right)}{2^{11/3}\pi^4}.$$

$$\theta_3(\tau) = \sum_{n \in \mathbb{Z}} q^{n^2/2} = \frac{\eta(\tau)^5}{\eta(\tau/2)^2 \eta(2\tau)^2}$$

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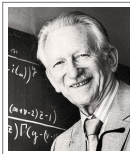
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**THM**  
Chowla–  
Selberg  
1967

$$\prod_{j=1}^h a_j^{-6} |\eta(\tau_j)|^{24} = \frac{1}{(2\pi d)^{6h}} \left[ \prod_{k=1}^d \Gamma\left(\frac{k}{d}\right)^{\binom{-d}{k}} \right]^{3w}$$

where the product is over reduced binary quadratic forms  $[a_j, b_j, c_j]$  of discriminant  $-d < 0$ .  $\tau_j = \frac{-b_j + \sqrt{-d}}{2a_j}$

here,  $-d$  is a fundamental discriminant;  $w$  is number of roots of unity in  $\mathbb{Q}(\sqrt{-d})$



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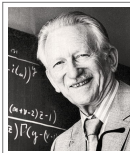
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• The  $|\eta(\tau_j)|$  only differ by an algebraic factor:

•  $\tau_2 = M \cdot \tau_1$  for some  $M \in \text{GL}_2(\mathbb{Z})$ .

•  $f(\tau) = \frac{\eta(\tau)}{\eta(M \cdot \tau)}$  is a modular function with  $f(\tau_1) = \frac{\eta(\tau_1)}{\eta(\tau_2)}$ .





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**FACT**  $f$  a modular function,  $\tau_0$  a quadratic irrationality  
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- $A \cdot \tau_0 = \tau_0$  for some  $A \in \text{GL}_2(\mathbb{Z})$
- Two modular functions are related by a **modular equation**:

$$P(f(A \cdot \tau), f(\tau)) = 0$$

- Hence:  $f(\tau_0)$  is a root of  $P(x, x) = 0$ .

**BUT** Complexity of modular equations increases very quickly.

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- $j(\tau) = q^{-1} + 744 + 196884q + 21493760q^2 + \dots$   $q = e^{2\pi i\tau}$
- **Modular polynomial**  $\Phi_N \in \mathbb{Z}[x, y]$  such that  $\Phi_N(j(N\tau), j(\tau)) = 0$ .

**EG**

$$\begin{aligned}\Phi_2(x, y) = & x^3 + y^3 - x^2y^2 + 2^4 \cdot 3 \cdot 31(x^2 + xy^2) \\ & - 2^4 \cdot 3^4 \cdot 5^3(x^2 + y^2) + 3^4 \cdot 5^3 \cdot 4027xy \\ & + 2^8 \cdot 3^7 \cdot 5^6(x + y) - 2^{12} \cdot 3^9 \cdot 5^9\end{aligned}$$

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$\Phi_N$  is  $O(N^3 \log N)$  bits

$$\begin{aligned}\Phi_{11}(x, y) = & x^{12} + y^{12} - x^{11}y^{11} + 8184x^{11}y^{10} - 28278756x^{11}y^9 \\ & + \dots \text{several pages} \dots + \\ & + 392423345094527654908696 \dots 100 \text{ digits} \dots 000\end{aligned}$$

$\Phi_{11}(x, y)$  due to  
Kaltofen–Yui (1984)

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To evaluate  $j\left(\frac{1+\sqrt{-23}}{2}\right)$ , we determine its Galois conjugates:

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$$\begin{aligned} & \left(x - j\left(\frac{1+\sqrt{-23}}{2}\right)\right) \left(x - j\left(\frac{1+\sqrt{-23}}{4}\right)\right) \left(x - j\left(\frac{-1+\sqrt{-23}}{4}\right)\right) \\ &= x^3 + 3491750x^2 - 5151296875x + 12771880859375 \end{aligned}$$

# THANK YOU!

Slides for this talk will be available from my website:  
<http://arminstraub.com/talks>



## **D. McCarthy, R. Osburn, A. Straub**

*Sequences, modular forms and cellular integrals*

Mathematical Proceedings of the Cambridge Philosophical Society, 2018



## **R. Osburn, A. Straub**

*Interpolated sequences and critical  $L$ -values of modular forms*

Chapter 14 of the book: *Elliptic Integrals, Elliptic Functions and Modular Forms in Quantum Field Theory*

Editors: J. Blümlein, P. Paule and C. Schneider; Springer, 2019, p. 327-349



## **R. Osburn, A. Straub, W. Zudilin**

*A modular supercongruence for  ${}_6F_5$ : An Apéry-like story*

Annales de l'Institut Fourier, Vol. 68, Nr. 5, 2018, p. 1987-2004



## **D. Zagier**

*Arithmetic and topology of differential equations*

Proceedings of the 2016 ECM, 2017